



Election Funding Authority of New South Wales

2010/11 ANNUAL REPORT



Our mission is to contribute to an impartial electoral system in NSW by providing transparent, efficient and unbiased administration of public funding and disclosure legislation.

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Level 25 201 Kent Street, Sydney 2000.



To the Premier The Hon Barry O'Farrell MP

The Hon Barry O'Farrell MP
Premier, Minister for Western Sydney

Level 40 Governor Macquarie Tower
1 Farrer Place
SYDNEY NSW 2000

31 October 2011

Dear Premier

In accordance with Section 8 of the *Annual Reports (Statutory Bodies) Act 1984*, I submit for tabling in both Houses of Parliament, the report on the operations of the Election Funding Authority of NSW for the financial year ended 30 June 2011.

Yours sincerely



Colin Barry
Chairperson

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1 Overview



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Chairperson's Overview



"I am pleased to present the Annual Report for the Election Funding Authority of New South Wales (EFA) for the reporting period 2010/11."

The past year has been very challenging, primarily as a consequence of major changes to the *Election Funding, Expenditure and Disclosures Act 1981*. These changes came into effect on 1 January 2011 following a report on the 'Public Funding of Election Campaigns' in March 2010 by the Joint Standing Committee on Electoral Matters.

The changes, which were in place for the conduct of the State General Election on 26 March 2011, introduced reforms that impact on the manner in which election participants, particularly political parties, manage their election campaign finances with caps being placed on political donations and electoral expenditure.

Other significant amendments were in relation to public funding of election campaigns, with the Central Fund and the Constituency Fund being replaced by the Election Campaigns Fund. The previous Political Education Fund was replaced by the Administration Fund and the Policy Development Fund, which are for the purpose of assisting registered political parties to meet their ongoing administrative and operating costs.

The amendments to the Act imposed increased responsibility on the Authority in the areas of compliance, investigation and enforcement. The Authority continues to maintain that compliance by stakeholders with the legislation is its primary objective, ensuring that the NSW public receives transparency of the financial activities of electoral participants and elected members.

Whilst disclosures are now only required every twelve months, instead of every six months, they are more comprehensive and the Authority has been charged with the responsibility of undertaking exhaustive compliance audits. This is accompanied by increased powers for investigation and additional enforcement options such as penalty notices and compliance agreements.

The first round of disclosures since the amendments to the Act are for the period ending 30 June 2011 and the Authority has been working hard to have a functional infrastructure in place to manage this process supported by sound policies, procedures and practices.

The Authority has continued to support stakeholders meeting their obligations through a detailed and targeted education programme. Efforts have been made to improve communication to better respond to stakeholder requirements, including enrichment of the Authority's website, the introduction of easy to read Fact Sheets and similar documents and revised handbooks and guidelines. Further developments have been planned for the coming year.

We can look back upon a very constructive and successful year, and I want to thank all parties, groups and candidates as well as our staff for their enthusiastic work, co-operation and support.

Colin Barry
Chairperson



EFA Charter

The Election Funding Authority of NSW (EFA) is established under the *Election Funding, Expenditure and Disclosures Act 1981* and has three main purposes:

- to allocate public funds to parties and candidates for State election campaigns and, in the case of parties, to allocate public funds for administrative and policy development expenses;
- to enforce the imposition of maximum amounts (or 'caps') on the value of political donations that might be lawfully accepted and the electoral communication expenditure that might lawfully be incurred, and to enforce the prohibition on donations from a limited class of intending donors; and
- to enforce the requirement to disclose the source and the amount of all political donations received and the amount of electoral expenditure for State parliamentary and Local Government election campaigns.

The Act imposes a duty on the EFA to exercise its functions in a manner that is not unfairly biased against or in favour of any particular party, group, candidate or other person, body or organisation.

2010/11 at a Glance

Processed over **2,192** party, group, candidate, elected member and donor disclosures, including uploading to the EFA website.

The number of disclosures received via the use of a smartform was **305**, being the online completion of a disclosure form for the lodgement of disclosures required by parties, groups, candidates, elected members and donors for the period ending 30 June 2010.

Registered **197** new official agents and **10** party agents.

Distributed **2** Administration Fund payments to eligible parties.

Responded to **1,478** stakeholder enquiries through the EFA email enquiry service.

Responded to **2,774** stakeholder enquiries by phone.

Recorded **22,838** unique visitors to the EFA website.

Conducted **47** briefing sessions for participants at the 2011 State general election and Local Government by-elections on their registration, disclosure and campaign management responsibilities.

Uploaded **14** fact sheets and **4** summaries to the EFA website to better respond to stakeholder enquiries and feedback regarding the 2011 State election. Completed the redevelopment of the online official and party agent training course to reflect identified training needs, as well as an optional candidate training programme.

Implemented major reforms introduced on 1 January 2011 to the *Election Funding, Expenditure and Disclosures Act 1981*.

Redeveloped **7** funding and disclosure information booklets and **19** forms to be specifically tailored to stakeholder needs consequent to the major reforms introduced in January 2011 to the *Election Funding, Expenditure and Disclosures Act 1981*.

Reviewed the EFA website following the implementation of amendments to the *Election Funding, Expenditure and Disclosures Act 1981*, to provide simpler navigation and more user-friendly information.

Commissioned Senior Lecturer in Law at the University of Melbourne, Dr Joo-Cheong Tham, to write a report on the public interest issues surrounding public funding and disclosure with respect to Local Government elections for submission to the Joint Standing Committee on Electoral Matters.

Developed a data analysis tool on the EFA website to enable improved interrogation of disclosure information lodged by stakeholders.

Gave evidence to the Joint Standing Committee on Electoral Matters concerning the inquiry into the public funding of Local Government election campaigns in New South Wales.

Development of Audit Policy, Compliance Policy and Prosecution Policy documentation.

2010/11 at a Glance

Table 1: Key Quantitative Results for EFA Activities

	2008/09	2009/10	2010/11
Disclosures received from parties, groups, candidates and elected members	7,197	3,295	1,678
Disclosures received from donors	1,775	731	514
Agents registered with EFA during the year	975	21	207
Agents completing online training	678	18	215
Disclosures uploaded to website	8,972	4,026	2,192
Party agents referred to the Crown Solicitor for prosecution	8	12	0
Official agents referred to the Crown Solicitor for prosecution	N/A	70	0
Candidates referred to the Crown Solicitor for prosecution	N/A	35	0
Groups referred to the Crown Solicitor for prosecution	N/A	1	0
Elected members referred to the Crown Solicitor for prosecution	N/A	105	0
Donors referred to the Crown Solicitor for prosecution	N/A	414	0

Note: The requirement to lodge a disclosure biannually was changed to every twelve-month period following the amendments to the Act coming into effect on 1 January 2011. Therefore there was no disclosure requirement as at 31 December 2010.

Our Services

The EFA's services included:

- administering the provisions of the *Election Funding, Expenditure and Disclosures Act 1981* and *Election Funding, Expenditure and Disclosures Regulation 2009*;
- the registration of candidates, groups, parties, third-party campaigners and agents for funding and disclosure purposes;
- receiving and processing disclosures of political donations and electoral expenditure lodged by or in respect to political parties, elected members, candidates, groups, third-party campaigners and political donors;
- receiving and processing claims for payment from the Election Campaigns Fund, the Administration Fund and the Policy Development Fund;
- publishing the disclosures of political donations and electoral expenditure on the EFA's website; and
- making disclosures of political donations and electoral expenditure available for public inspection for up to six years after the period in which a disclosure was made.

The EFA's stakeholders are:

- the people and electors of NSW;
- candidates;
- groups of candidates;
- political parties;
- political donors;
- third-party campaigners;
- Local Government councillors and Mayors;
- official and party agents;

Governance Overview

- Members of Parliament;
- government agencies;
- statutory bodies; and
- the media.

EFA Vision

- An impartial electoral system

EFA Values

- Integrity;
- Impartiality; and
- Professionalism.

Governance Overview

The EFA's work is governed by the following main pieces of legislation:

- *Election Funding, Expenditure and Disclosures Act 1981* (the Act);
- *Election Funding, Expenditure and Disclosures Regulation 2009*; and
- *Parliamentary Electorates and Elections Act 1912*.

The Act prescribes that the EFA consists of:

- the Electoral Commissioner for NSW as Chairperson;
- a member appointed by the Governor on the nomination of the Premier;
- a member appointed by the Governor on the nomination of the Leader of the Opposition in the Legislative Assembly.

Governance Overview

The Act also provides for the Governor to appoint:

- an alternate member appointed by the Governor on the nomination of the Premier; and
- an alternate member appointed by the Governor on the nomination of the Leader of the Opposition in the Legislative Assembly.

Appointed members' and alternate members' terms of office start six months after the return of the Writs for the previous State election and run until six months after the return of the Writs for the current election. Members and alternates may be re-appointed.

The NSW Electoral Commission (NSWEC) is the administrative unit through which the EFA exercises its statutory responsibilities.

During the 2010/11 year members of the EFA were:

Colin Barry
Chairperson

Steven D Lewis
(until 8 July 2010)

Kirk S McKenzie
(starting 15 September 2010)
Appointed Member

Edward P Pickering
Appointed Member

Performance Overview

EFA Corporate Plan

The EFA provides the mechanism for parties, candidates and donors to operate in an environment of full disclosure. The EFA's objectives included in the 2008-2011 Corporate Plan focus on the need to measure the EFA's performance in a manner open to scrutiny.

The 2008-2011 Corporate Plan provides the basis for the measures in the Results and Services Plan but also includes targets for performance.

Results indicators measure trends relevant to the health of the overall electoral system but for which the EFA cannot be held solely responsible. Many stakeholders contribute to the overall health and functioning of the NSW electoral system: parties, candidates and donors all have major roles to play in making the system transparent and compliant. Nevertheless the EFA monitors this results indicator and works with stakeholders to provide the foundations for an impartial, trusted electoral and funding and disclosure system in NSW.

The results indicator identified for the EFA in the Corporate Plan addresses the unbiased provision of public funding and open disclosure of campaign donations.

The targets identified under the results indicator include:

- number of successful EFA prosecutions (target = 100%); and
- percentage of disclosures lodged with EFA by due date (target = 95%).

Performance Overview

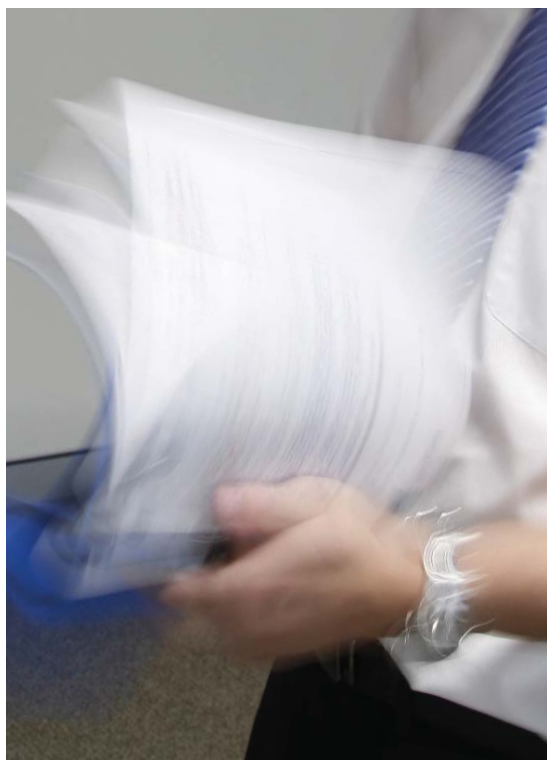
Key Results Areas (KRAs) set out the EFA's service outcomes, service measures and strategies. The EFA KRAs in the Corporate Plan address:

- funding and disclosure; and
- organisational development and innovation.

They are:

- candidates, groups, parties, elected members, donors and agents are informed about their responsibilities;
- the public are informed of political donations and electoral expenditure; and
- the EFA has access to NSWEC's staff, systems and processes to provide value for money for the people of NSW.

The EFA Corporate Plan is available on the EFA website www.efa.nsw.gov.au.



EFA Results and Services Plan

The EFA contributes to an impartial electoral system by providing the mechanism for unbiased public funding and open disclosure of campaign donations.

These requirements are specified in the EFA's Results and Services Plan, a performance management tool that sets out the linkages between the results that the EFA works towards, the services it provides to achieve these results, and the costs of delivering the services.

The EFA's Results and Services Plan sets out the outcomes expected of the EFA as follows:

- unbiased administration of public funding of State election campaigns;
- public disclosure of sources of funds (political donations) and expenditure incurred in election campaigns; and
- impartial administration of payment to eligible parties of funds from the Political Education Fund.

Table 2: EFA Corporate Plan Results Indicators – Performance

Results Indicators	Target	30/06/2008	31/12/2008	30/06/2009	31/12/2009	30/06/2010
% disclosures lodged by the due date	95%	65.67%	49.38%	86%	87%	79%
Successful EFA prosecutions	100%	n/a	n/a	n/a	n/a	100%

2 Review of Operations and Activities

2010/11



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Objectives



Objectives

- The administration of public funding is unbiased and there is open disclosure of campaign donations

Performance

- Processed over 1,127 registrations for candidates, groups, third party campaigners and official agents
- Distributed \$1,963,348 to eligible parties from the Administration Fund
- Processed over 2,192 disclosures

Future Directions

The Authority is committed to ensuring the delivery of high quality services which are supported by excellence in people, processes and technology. Our challenge for the next reporting year is to continuously review our services with a focus on the following operational and organisational improvements:

- continued implementation of the amendments to the *Election Funding, Expenditure and*

Disclosures Act 1981 which commenced on 1 January 2011 and, in particular, development, implementation, assessment and review of a compliance audit and enforcement programme;

- subject to available funding, develop a computerised application to support the business process identified to implement the amendments to the *Election Funding, Expenditure and Disclosures Act 1981* which commenced on 1 January 2011;
- development of strategies to educate, assist and support stakeholders to manage campaign finances consistent with legislative requirements and, in particular, reporting obligations;
- an ongoing focus on the key results areas set out in the EFA's Corporate Plan and a continued focus on the challenges associated with a system of unbiased public funding and open disclosure of campaign donations at all NSW elections;
- assessment and review of the structure of the Funding and Disclosure Branch of the NSWEC to allow for any identified shift in administrative requirements for funding, disclosure and compliance matters; and
- monitoring changes across Australian (and international) election funding legislation and administration, including communication and contact with other jurisdictions to keep abreast of funding, disclosure and education strategies in other jurisdictions.

Much of the EFA's work in 2010/11 involved processing the registrations of candidates and groups and their official agents for the purpose of funding and disclosure for the March 2011 State election and 14 Local Government by-elections.

Registration



Candidates and Groups

Persons intending to stand as a candidate or as a group of candidates at a Local Government by-election are required to register with the EFA, and appoint an official agent, before they accept any political donations for the election.

Similarly, persons intending to stand as a candidate or as a group of candidates for State elections or persons intending to stand as a candidate for State by-elections are required to register with the EFA and appoint an official agent, unless the candidate or group has an ex officio official agent before they accept political donations for the election.

During the reporting year the EFA processed a total of 1,036 candidate, group and official agent registrations.

Official and Party Agents

The appointed official agents of candidates, groups and elected members must be registered with the EFA.

A political party must appoint a party agent. The party agent must be registered with the EFA.

Official and party agents are responsible for:

- managing political donations received and electoral expenditure incurred, including operation of the campaign account;
- keeping proper records of political donations received and electoral expenditure incurred;
- lodging disclosures of political donations received and electoral expenditure incurred; and
- lodging claims for payment.

Prospective official and party agents who are not otherwise exempt are required to complete the EFA online training course which outlines the key processes and responsibilities inherent in complying with the provisions of the Act and Regulations.

During the reporting year, 215 people successfully completed the agent online training course and a total of 207 official agents registered with the EFA, with 10 registered for parties, 152 for candidates, 2 for groups of candidates, 0 for elected members and 43 for third-party campaigners.

There were 43 party agents registered with the EFA at the time disclosures became due for the period ending 30 June 2011.

Third-party Campaigners

Persons and entities (other than political parties, elected members, candidates and groups of candidates) who incur electoral communication expenditure during a capped expenditure period that exceeds \$2,000 in total are considered to be third-party campaigners and are required to register with the EFA and appoint an official agent.

Registration

Prohibited Donors



During the reporting year the EFA processed 43 registrations in connection with third-party campaigners for the 2011 State election.

Registers

The EFA maintains the following registers for each election:

- Register of Candidates (which includes persons associated with a group);
- Register of Party Agents;
- Register of Official Agents; and
- Register of Third-party Campaigners.

The Register of Candidates lists the details of persons who have registered as a candidate with the EFA for an election or by-election, including their association, if any, with a group.

The Register of Official Agents lists the details of those persons who have been appointed as an official agent by candidates or groups for an election or by-election.

The Register of Party Agents lists the details of those persons who have been appointed as the party agent of a political party.

All registers applicable to the State election and Local Government by-elections conducted in 2010/11 are available for public inspection at the office of the EFA as well as on the EFA website.

Political parties applying for registration under the *Parliamentary Electorates and Elections Act 1912* or *Local Government Act 1993* are required to state in their application whether the party also wishes to be registered for the purposes of the *Election Funding, Expenditure and Disclosures Act 1981*. Only parties registered for State purposes are eligible to receive public funding for campaign, administration and political education expenditure (should that party fulfil the other criteria necessary to receive funding).

Prohibited Donors

The *Election Funding, Expenditure and Disclosures Act 1981* makes it unlawful for a prohibited donor to make a political donation.

Prohibited donors are defined as:

- a property developer;
- a tobacco industry business entity;
- a liquor or gambling industry business entity; and
- a close associate of any of the above.

A person may apply to the Authority for a determination that the applicant or another person is not a prohibited donor.

For the year 2010/2011, the Authority did not receive any applications related to this issue.

Political Donation Caps

Electoral Communication Expenditure Caps

Amendments to the *Election Funding, Expenditure and Disclosures Act 1981* mean that from 1 January 2011 there are caps on political donations (except in relation to Local Government elections and elected members of local councils). The political donation caps for the period 1 January 2011 to 30 June 2011 were as follows:

Table 3: Political Donation Cap Amounts

Capped amount	Made to or for the benefit of
\$5,000	A registered party
\$2,000	An unregistered party
\$2,000	An elected member
\$5,000	A group of candidates for the Legislative Council
\$2,000	A candidate
\$2,000	A third-party campaigner

Each of the capped amounts referred to above are adjusted for inflation each financial year.

Electoral Communication Expenditure Caps

Amendments to the *Election Funding, Expenditure and Disclosures Act 1981* mean that from 1 January 2011 there are caps on the amount of electoral communication expenditure which may be incurred in relation to State election campaigns.

Electoral communication expenditure is electoral expenditure of the following kinds:

- advertisements;
- the production and distribution of electoral material;
- the internet, telecommunications, stationery and postage;
- employment of staff engaged in election campaigns; and
- office accommodation for staff and candidates (not including party headquarters or the electorate office of an elected member).

Electoral communication expenditure is capped during the capped expenditure period for an election. In respect of the 2011 State election the capped expenditure period was 1 January 2011 to 26 March 2011.

Electoral Communication Expenditure Caps

The applicable caps on electoral communication expenditure for the 2011 State election were:

Table 4: Electoral Communication Expenditure Cap Amounts 2011 State Election

Electoral communication expenditure incurred by:	General cap
a party that endorses a group for the Legislative Council and between 0 and 10 candidates for the Legislative Assembly	\$1,050,000
all other parties	\$100,000 x number of Legislative Assembly electoral districts in which a candidate is endorsed by the party
a group of unendorsed candidates for the Legislative Council	\$1,050,000
an endorsed candidate for the Legislative Assembly	\$100,000
an unendorsed candidate for the Legislative Assembly	\$150,000
an ungrouped candidate for the Legislative Council	\$150,000
a candidate for a Legislative Assembly by-election	\$200,000
a third-party campaigner	<ul style="list-style-type: none"> • \$1,050,000 (if registered with the EFA before the capped expenditure period for an election); or • \$525,000 (in any other case).
a third-party campaigner (by-election)	\$20,000
Electoral communication expenditure incurred for the election of a candidate in a particular electoral district by:	Additional cap (within the general cap)
a party	\$50,000 per district
a third-party campaigner	\$20,000 per district

Electoral Communication Expenditure Caps

Each of the capped amounts referred to above were adjusted for inflation for the election period commencing 27 March 2011. The adjusted amounts for State elections (including by-elections) up to and including polling day for the 2015 State election are as follows:

Table 5: Electoral Communication Expenditure Cap Amounts From 27 March 2011

Electoral communication expenditure incurred by:	General cap
a party that endorses a group for the Legislative Council and between 0 and 10 candidates for the Legislative Assembly	\$1,166,600
all other parties	\$111,200 x number of Legislative Assembly electoral districts in which a candidate is endorsed by the party
a group of unendorsed candidates for the Legislative Council	\$1,166,600
an endorsed candidate for the Legislative Assembly	\$111,200
an unendorsed candidate for the Legislative Assembly	\$166,700
an ungrouped candidate for the Legislative Council	\$166,700
a candidate for a Legislative Assembly by-election	\$222,300
a third-party campaigner	<ul style="list-style-type: none"> • \$1,166,600 (if registered with the EFA before the capped expenditure period for an election); or • \$583,300 (in any other case).
a third-party campaigner (by-election)	\$22,300
Electoral communication expenditure incurred for the election of a candidate in a particular district by:	Additional cap (within the general cap)
a party	\$55,600 per district
a third-party campaigner	\$22,300 per district

Funding



Penrith by-election 2010 Samuel Terry Public School Polling Place

Election Funding Entitlements

Election funding schemes in NSW appropriate public money to reimburse registered political parties and candidates for certain election expenditure incurred at a State General election or by-election up to limits set by statute.

The Act imposes a duty on the EFA to exercise its election funding functions in a manner that is not biased against or in favour of any particular party, group, candidate or other person, body or organisation.

There is no public funding for Local Government elections in NSW or for elected members of local councils.

Central Fund

The EFA kept a Central Fund with respect to the 2011 State election. There were no advance payments made from the Central Fund to eligible parties during the reporting period.

Amendments to the *Election Funding, Expenditure and Disclosures Act 1981* mean that from 1 January 2011 the Central Fund was replaced by the Election Campaigns Fund.

Constituency Fund

The EFA kept a Constituency Fund with respect to the Penrith State by-election held 19 June 2010. The purpose of the Fund was to reimburse eligible parties and candidates for election campaign expenditure incurred with respect to the by-election.

The amount available for distribution in the Fund was \$102,364.

A candidate nominated for election at the by-election was, subject to and in accordance with the Act, eligible to participate in the distribution of the Fund if:

1. the candidate was registered with the EFA as a candidate for the by-election as at election day, and
2. either or both of the following occur:
 - (i) the candidate was elected at the by-election, or
 - (ii) the total number of first preference votes in favour of the candidate at the by-election was at least 4 per cent of the total number of first preference votes in favour of all candidates for election at the by-election.

Funding

The following candidates who were nominated for the Penrith by-election were registered as candidates in the Register of Candidates as at polling day on 19 June 2010:

- Green, Andrew
- Saunders, Michael
- Selby, Noel
- Wright, Suzanne

A party was, subject to and in accordance with the Act, eligible to participate in the distribution of the Fund if:

1. it was a registered party as at election day, and
2. the EFA was satisfied that a candidate at the by-election claimed to be endorsed by the party (and by no other party), and party (and by no other party), and
3. either or both of the following occur:
 - (i) the candidate was elected at the by-election, or

- (ii) the total number of first preference votes in favour of the candidate at the by-election was at least 4 per cent of the total number of first preference votes in favour of all candidates for election at the by-election.

The following candidates were endorsed by parties which were registered as at polling day for the by-election:

- Ayres, Stuart – Liberal Party of Australia, New South Wales Division
- Leyonhjelm, David – Outdoor Recreation Party
- Sanz, Jose – Australian Democrats (NSW Division)
- Thain, John – Australian Labor Party (NSW Branch)

The total number of first preference votes in favour of all candidates at the by-election was 39,838. Four of the eight candidates received at least 4 per cent of the first preference votes at the by-election.

The table below lists each candidate who received at least 4 per cent of the first preference votes at the by-election, the percentage of first preference votes received by those candidates, the maximum funding entitlements of those candidates/parties, the amounts paid to each candidate/party and the amount of undistributed funds:

Table 6: Penrith By-election Funding Entitlements and Payments

Candidate	Party	% FPV	Maximum entitlement	Amount paid
Ayres, Stuart	Liberal	51.5	\$51,182	\$51,182.00
Green, Andrew	CDP	4.4	\$4,856	\$4,856.00
Leyonhjelm, David	ORP	1.9	-	-
Sanz, Jose	Democrats	0.9	-	-
Saunders, Michael		2.0	-	-
Selby, Noel		2.7	-	-
Thain, John	Labor	24.5	\$27,086	\$27,086.00
Wright, Suzanne	The Greens	12.1	\$13,429	\$7,261.92
Undistributed funds			\$5,811	\$11,978.08
Total			\$102,364	\$102,364.00

Funding

Amendments to the *Election Funding, Expenditure and Disclosures Act 1981* mean that from 1 January 2011 the Constituency Fund was replaced by the Election Campaigns Fund.

Election Campaigns Fund

The EFA keeps an Election Campaigns Fund in respect of State elections (including by-elections). The purpose of the Fund is to reimburse eligible parties and candidates a percentage of electoral communication expenditure incurred during the capped expenditure period for an election.

Parties

A party is, subject to and in accordance with the Act, eligible for payments from the Fund with respect to a State election (other than a by-election) if:

1. it is a registered party as at election day, and
2. it endorses candidates who are nominated for election, and
3. the EFA is satisfied the candidates claimed to be endorsed by the party, and
4. at least one of those candidates endorsed by the party is elected, or the total number of first preference votes received by all those candidates endorsed by the party is at least 4 per cent of the total number of first preference votes in all electoral districts or all first preference votes received in the Legislative Council election.

With respect to the 2011 State election, the following parties are eligible to receive a payment from the Fund kept for the election:

- Australian Labor Party (NSW Branch)

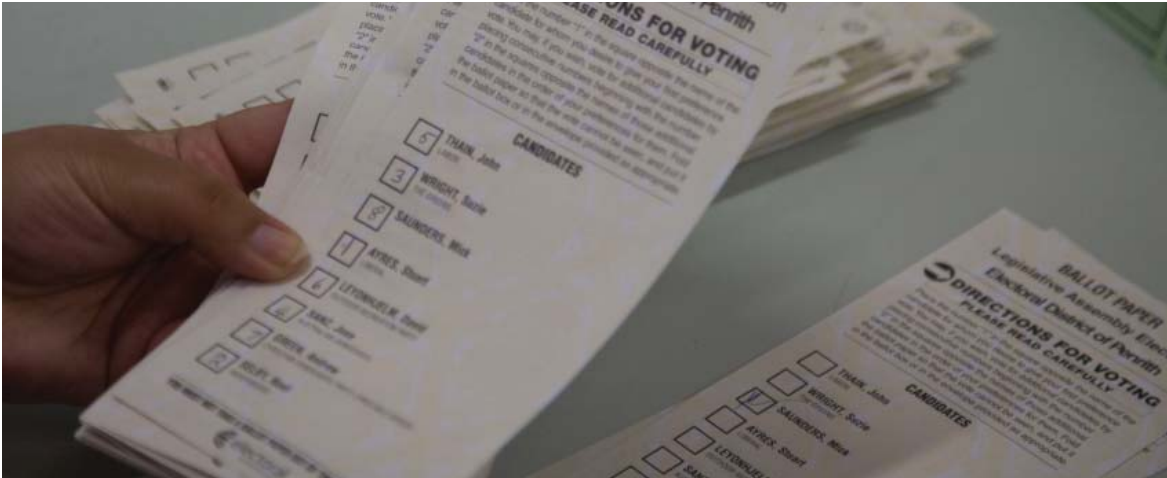
- Christian Democratic Party (Fred Nile Group)
- Country Labor Party
- Liberal Party of Australia New South Wales Division
- National Party of Australia – NSW
- Shooters and Fishers Party
- The Greens

The amount to be distributed to an eligible party from the Fund is determined by the amount of electoral communication expenditure incurred by the party during the capped expenditure period for the election and is as follows:

In respect of a party that endorsed a group for the Legislative Council election and between 0 and 10 candidates for election to the Legislative Assembly, the maximum amount that may be distributed to the party is:

- 100% of the electoral communication expenditure incurred by the party for the election that is within 0-10% of the party's expenditure cap, plus
- 75% of the electoral communication expenditure incurred by the party for the election that is within the next 10-90% of the party's expenditure cap, plus
- 50% of the electoral communication expenditure incurred by the party for the election that is within the last 10% of the party's expenditure cap.

Funding



Counting votes at the Penrith by-election 2010

In respect of all other parties, the maximum amount that may be distributed to each party is:

- 100% of the electoral communication expenditure incurred by the party for the election that is within zero to one third of the party’s expenditure cap, plus
- 75% of the electoral communication expenditure incurred by the party for the election that is within the next one third to two thirds of the party’s expenditure cap, plus
- 50% of the electoral communication expenditure incurred by the party for the election that is within the last one third of the party’s expenditure cap.

Table 7: Party Funding Entitlements 2011 State Election

Party	Expenditure Cap	Maximum entitlement
Australian Labor Party (NSW Branch)	\$8,800,000	\$6,600,000
Christian Democratic Party (Fred Nile Group)	\$8,600,000	\$6,450,000
Country Labor Party	\$1,050,000	\$787,500
Liberal Party of Australia NSW Division	\$7,300,000	\$5,475,000
National Party of Australia - NSW	\$2,000,000	\$1,500,000
Shooters and Fishers Party	\$1,050,000	\$787,500
The Greens	\$9,300,000	\$6,975,000

The amount available for distribution from the Fund to the eligible parties is \$28,575,000.

During the reporting period no payments were made from the Election Campaigns Fund to eligible parties.

Funding



Voting at the State election Town Hall Sydney

Candidates

A candidate who is duly nominated for a State election is, subject to and in accordance with the Act, eligible for payments from the Fund with respect to the State election (including a by-election) if:

1. the candidate is registered as a candidate with the EFA as at election day, and
2. in the case of a Legislative Council candidate, the candidate was not included in a group, or was included in a group where none of the group members were endorsed by a party, and
3. the candidate is elected, or one of the following occur:

- (i) In the case of a Legislative Assembly election, the candidate received at least 4 per cent of the First preference votes (FPV) in the electoral district for which the candidate was nominated, or
- (ii) in the case of a Legislative Council election, the candidate receives at least 4 per cent of the first preference votes (and if included in a group, the candidates in the group receive at least 4 per cent of the first preference votes) at the election.

In respect to the 2011 State election, no candidates for the Legislative Council are eligible to receive a payment from the Fund.

The amount available for distribution from the Fund with respect to eligible candidates is \$12,592,500.

Funding



Party workers "How to Vote " information Town Hall

For a complete list of all Legislative Assembly candidates eligible to receive a payment from the Election Campaigns Fund with respect to the 2011 State election, see Appendix 1.

During the reporting period no payments were made from the Election Campaigns Fund to eligible candidates.

Administration and Policy Development Funds

Amendments to the *Election Funding, Expenditure and Disclosures Act 1981* mean that the EFA keeps an Administration Fund and a Policy Development Fund. The Funds apply only in respect of parties registered for State elections and elected members of the NSW Parliament.

Administration Fund

The purpose of the Administration Fund is to reimburse administrative and operating expenditure incurred by independent elected members and registered parties that have representatives in Parliament.

A party is, subject to and in accordance with the Act, eligible for annual payments from the Administration Fund on a calendar year basis if:

1. it was a registered party on election day for the previous State election and continues to be a registered party as at 31 December, which is the date the annual entitlement is determined, and
2. candidates endorsed by the party were elected at the State election, and
3. the EFA is satisfied that the elected members claimed to be endorsed by the party, and
4. the EFA is satisfied that the elected members continue to be members or representatives of the party as at the date in which the annual entitlement is determined (31 December).

The amount that may be distributed to an eligible party is the amount of administrative expenditure incurred by or on behalf of the party during the calendar year to which the payment relates. However, the maximum amount payable to a party for a calendar year is equal to \$80,000 for each elected member endorsed by the party or \$2,000,000 whichever is less.

In order to receive a payment in respect of the 2010 calendar year, a party must have made a claim in writing with the EFA no later than 30 June

Funding

2011. The claim must be accompanied by a declaration and any such information the EFA may require.

No parties requested an extension to lodge the claim with the EFA.

The following parties made a claim from the Administration Fund during the reporting period with respect to the 2010 calendar year:

- Australian Labor Party (NSW Branch)
- Christian Democratic Party (Fred Nile Group)
- Country Labor Party
- Liberal Party of Australia New South Wales Division
- National Party of Australia – NSW
- Shooters and Fishers Party
- The Greens

An elected member is, subject to and in accordance with the Act, eligible for annual payments from the Administration Fund on a calendar year basis if:

1. the elected member was not an endorsed candidate of any party at the State election at which the member was last elected, and
2. the EFA is satisfied that the elected member is not a member or representative of any party as at 31 December, which is the date the annual entitlement is determined.

The amount that may be distributed to an eligible elected member is the amount of administrative expenditure incurred by or on behalf of the elected member during the calendar year to which the payment relates. However, the maximum amount payable to an elected member for a calendar year is equal to \$80,000.

The following elected members made a claim from the Administration Fund during the reporting period with respect to the 2010 calendar year:

- Mrs Dawn Fardell

In order to receive a payment in respect of the 2010 calendar year, an elected member had to make a claim in writing with the EFA no later than 30 June 2011. The claim had to be accompanied by a declaration and any such information the EFA may require.

No elected members requested an extension to lodge the claim with the EFA.

The following payments were approved by the EFA from the Administration Fund during the reporting period:

- Australian Labor Party (NSW Branch) - \$1,238,386.40
- National Party of Australia – NSW - \$724,961.31

Note: the entitlements of each party were reduced by the amount paid to each party from the Political Education Fund in respect of the 2010 calendar year in accordance with cl. 47(1) of the *Election Funding, Expenditure and Disclosures Regulation 2009*.

Funding

Policy Development Fund

The purpose of the Policy Development Fund is to reimburse policy development expenditure incurred by registered parties that do not have representatives in Parliament.

A party is, subject to and in accordance with the Act, eligible for annual payments from the Policy Development Fund on a calendar year basis if:

1. it was a registered party and has been a registered party for at least 12 months as at 31 December, which is the date the annual entitlement is determined, and
2. the EFA is satisfied that it operates as a genuine political party, and
3. it is not entitled to payments from the Administration Fund.

The amount that may be distributed to an eligible party is the amount of policy development expenditure incurred by or on behalf of the party during the calendar year to which the payment relates. However, the maximum amount payable to a party is 25 cents for each first preference vote received by candidates endorsed by the party at the previous State election. If this amount equates to less than \$5,000 then the amount payable to the party is \$5,000.

In order to receive a payment in respect of the 2010 calendar year, a party must have made a claim in writing with the EFA no later than 30 June 2011. The claim must be accompanied by a declaration and any such information the EFA may require.

On 30 June 2011 the Australian Democrats

(NSW Division) requested an extension to lodge the claim with the EFA. The EFA approved an extension of one month to the party under s.106 of the Act.

The following parties made a claim from the Policy Development Fund during the reporting period:

- Outdoor Recreation Party
- Save Our State

During the reporting period no payments were made from the Policy Development Fund.

Political Education Fund

Amendments to the *Election Funding, Expenditure and Disclosures Act 1981* mean that the Political Education Fund ceased on 31 December 2010.

Clause 46 of the *Election Funding, Expenditure and Disclosures Regulation 2009* provided that parties who received a payment from the Political Education Fund in 2010 were required to make a declaration of how the payment was spent. The declaration was required to be lodged by 30 June 2011.

The following parties lodged a declaration in respect of the 2010 payment from the Political Education Fund by 30 June 2011:

- Australian Labor Party (NSW Branch)
- Christian Democratic Party (Fred Nile Group)
- Liberal Party of Australia New South Wales Division
- National Party of Australia – NSW
- The Greens

There are no outstanding declarations to be lodged in respect of the Political Education Fund.

Disclosures



Voting at the State Election 2011

Disclosures

The changes to the *Election Funding, Expenditure and Disclosures Act 1981* that came into effect on 1 January 2011 meant that the six month disclosure requirement for political parties, candidates, groups, elected members and major political donors was replaced by the request to lodge a disclosure of political donations and electoral expenditure with the EFA following the end of each 12 month period ending 30 June.

Following this change, the only disclosures processed by the EFA in the year 2010/2011 refer to the disclosure period 1 January 2010 to 30 June 2010. The EFA's work included processing party, candidate, group, elected member and donor disclosures and ensuring that all disclosures were published on the EFA website as soon as practicable after the due date for the receipt of disclosures.

Six-Month Disclosure Period Ending 30 June 2010

Disclosures for the six month period ending 30 June 2010 were due on 25 August 2010.

The EFA processed over 2,192 disclosures for the period.

Tables 8 and 9 are summary reports of donations received and expenditure incurred by registered political parties for this period. All figures are correct at the time of writing this report. Amendments to disclosures received and processed by the EFA after this date will change the final disclosure figures for affected parties.

Copies of disclosures lodged for the period ending 30 June 2010 can be found on the EFA website – www.efa.nsw.gov.au.

Disclosures

Table 8: Summary Report of Donations Received and Expenditure Incurred by State Registered Political Parties for the Reporting Period 01/01/2010 – 30/06/2010

Party Name	Total Donations	Total Expenditure
Australian Labor Party (NSW Branch)	\$1,968,101.64	\$1,524,498.89
Building Australia Party	\$0.00	\$0.00
Christian Democratic Party (Fred Nile Group)	\$149,449.00	\$1,819.00
Country Labor Party	\$0.00	\$3,695.55
Family First NSW Inc	\$18,040.00	\$1,382.00
Liberal Party of Australia New South Wales Division	\$2,889,476.58	\$1,412,106.73
National Party of Australia - NSW	\$629,888.71	\$202,422.84
No Parking Meters Party	\$1,500.00	\$4,832.00
Outdoor Recreation Party	\$5,960.00	\$889.40
Restore The Workers' Rights Party	\$0.00	\$0.00
Save Our State	\$1,500.00	\$0.00
Shooters and Fishers Party	\$346,130.00	\$126,312.99
Socialist Alliance	\$4,231.89	\$330.00
The Fishing Party	\$122.00	\$914.00
The Greens	\$119,267.22	\$15,862.00
Unity Party	\$3,240.00	\$2,900.00

Table 9: Summary Report of Donations Received and Expenditure Incurred by Local Government Registered Political Parties for the Reporting Period 01/01/2010 – 30/06/2010

Party Name	Total Donations	Total Expenditure
Albury Citizens and Ratepayers Movement	\$0.00	\$0.00
Australia First Party (NSW) Incorporated (Councils)	\$1,409.89	\$1,119.44
Australian Protectionist Party	\$415.00	\$122.00
Bob Thompson's Independent Team	\$0.00	\$0.00
Burwood Community Voice	\$290.00	\$286.00
Clover Moore Independent Team	\$0.00	\$0.00
Community First Team	\$0.00	\$110.00
Democratic Labor Party	\$450.00	\$575.95
Eurobodalla First	\$0.00	\$72.00
Holroyd Independents	\$0.00	\$0.00
Kogarah Residents' Association	\$0.00	\$0.00
Liverpool Community Independents Team	\$0.00	\$0.00
Lorraine Wearne Independents	\$0.00	\$0.00
Manly Independents - Putting Residents First	\$0.00	\$0.00
Our Sustainable Future	\$0.00	\$0.00
Parramatta Better Local Government Party	\$0.00	\$0.00
Residents Action Group for Auburn Area	\$54.00	\$0.00
Residents First Woollahra	\$0.00	\$0.00
Save Tuggerah Lakes	\$4,900.00	\$0.00
Shire Watch Independents	\$0.00	\$313.00
Shire Wide Action Group	\$0.00	\$0.00
Shoalhaven Independents Group	\$0.00	\$187.00
The Parramatta Independents	\$0.00	\$0.00
Totally Locally Committed Party	\$0.00	\$0.00
Wake Up Warringah	\$115.00	\$110.00
Woodville Independents	\$0.00	\$0.00

Compliance



Compliance Review Audit

Compliance activities, including audits of disclosures generated a significant amount of work at the EFA during 2010/11.

A risk assessment undertaken in the previous year led to the development of a compliance audit programme for stakeholders which was implemented during the year targeting disclosures made by political parties, candidates, groups, elected members and donors for the disclosure period ending 30 June 2010.

With the changes to the Act and resulting increases in resources in 2011/12 to undertake the audit of disclosures, the EFA plans to conduct regular compliance reviews of disclosures lodged by political parties, candidates, groups and elected members and investigate related matters that come to notice.

During the reporting period the EFA reviewed the non-compliance matters that arose from the audit of disclosures lodged for the period ending 30 June 2010. The EFA's Compliance Policy, approved by the EFA on 15 June 2011, provides a framework for identifying the enforcement options available to the EFA with respect to these non-compliance matters.

Compliance

Compliance Audit Review Disclosure Period 30 June 2010

As part of the compliance audit program for the EFA, a Compliance Audit Review Checklist was developed for stakeholder categories of candidates, groups, elected members and parties. The checklists consist of a review of compliance within each section of the disclosure required by stakeholders.

The compliance audit checklist enables the detection of the areas of non-compliance with respect to the disclosure made in accordance with the *Election Funding, Expenditure and Disclosures Act* (the Act) and provides the basis for targeting those non-compliant stakeholders.

The compliance audit review of each disclosure is further enhanced by the entry and consolidation of the review outcome by stakeholder into a master spreadsheet which provides a mechanism to record, track and monitor each case and also enables a greater level of analysis to be performed on issues arising from the audit process.

In addition to the checklists, verification of compliance for certain aspects of the disclosure process is also achieved by extracting and sorting disclosure data from the EFA system, which has the added benefit of reconciling to the data entry performed by the Registration and Disclosure Section.

The reporting period ending on 30 June 2010 required a disclosure to be made by 25 August 2010. However, extensions were granted for stakeholders on request, requiring final submission by 20 September 2010.

The information provided in this summary is by and large for the majority of all stakeholders who have an obligation to disclose, however there are at this stage a small percentage of disclosures that remain outstanding. These will still be subject to a compliance review on receipt but are not included in this summary.

Audit Process And Outcomes:

The audit process consisted of a desk audit of the disclosures made using the Compliance Audit Review Checklist. Each section of the disclosure was reviewed to ensure compliance with the relevant requirements in accordance with the Act.

The first review would identify if there were any aspects of the disclosure that required further information to be provided by the stakeholder to complete the disclosure.

A second review of compliance was undertaken on receipt of a response from the stakeholder. Any issues identified that could be considered as a possible offence under the Act following the first and second reviews were referred to the Election Funding Authority.

Continues...

CASE STUDY

Compliance

CASE STUDY

1) Candidates

No. of Candidate disclosures reviewed: 78

Compliance achieved first review: 73

Compliance achieved second review: 78

2) Groups

No. of Group disclosures reviewed: 3

Compliance achieved first review: 3

3) Elected Members of Parliament

No. of Members disclosures reviewed: 135

Compliance achieved first review: 134

Compliance achieved second review: 135

4) Local Government Councillors

No. of Local Government Councillor disclosures reviewed: 1,351

Compliance achieved first review: 1,328

Compliance achieved second review: 1,345

5) Parties

No. of Party disclosures reviewed: 41

Compliance achieved first review: 29

Compliance achieved second review: 36

Non-compliance Matters for Disclosure Period Ending 30 June 2010

During the reporting period the EFA was due to receive disclosures from 49 parties, 1,594 elected members, 101 candidates and 651 donors for the disclosure period ending 30 June 2010. The disclosures were due to be lodged with the EFA by the due date of 25 August 2010.

The EFA wrote to parties, candidates, groups and elected members who failed to lodge a disclosure by the due date, reminding them of their disclosure obligations and responsibilities.

The matters relating to persons who failed to lodge a disclosure were noted by the EFA.

The enforcement options available to the EFA in respect of these matters will be identified with consideration being given to the EFA's Compliance and Prosecution Policies.

During the reporting period no matters were referred to the NSW Crown Solicitor in respect of the disclosure period ending 30 June 2010.

Follow Up on Prosecution Matters Referred in Previous Reporting Periods

Reporting period 2009/2010

With respect to the 570 matters referred to the Crown Solicitor during the 2009/2010 reporting period, these matters were taken back by the EFA for examination with consideration being given to the EFA's Compliance and Prosecution Policies approved by the EFA 15 June 2011.

Reporting period 2008/2009

With respect to the eight matters referred to the Crown Solicitor during the 2008/2009 reporting period, these matters were taken back by the EFA for examination with consideration being given to the EFA's Compliance and Prosecution Policies approved by the EFA 15 June 2011.

Compliance

Reporting period 2007/2008

With respect to the 915 donors referred to the Crown Solicitor during the 2007/2008 reporting period, the EFA conducted formal investigations of 28 persons who had failed to lodge a declaration as required under section 85A of the *Election Funding, Expenditure and Disclosures Act 1981* as in force at 30 August 2007. As a result of these investigations the EFA consented to commence proceedings against three persons. In each of the three matters the offence was proven but no conviction was recorded pursuant to section 10 of the *Crimes (Sentencing Procedure) Act 1999*.

With respect to the two party agents and two parties, referred to the Crown Solicitor during the 2007/2008 reporting period, the EFA conducted a formal investigation into one party and one party agent for a failure to lodge a declaration as required under section 83 of the *Election Funding, Expenditure and Disclosures Act 1981* as in force at 30 August 2007. As a result the EFA consented to commence proceedings against one party agent. The offence was proven but no conviction was recorded pursuant to section 10 of the *Crimes (Sentencing Procedure) Act 1999*. The matters relating to the other party and party agent were withdrawn by the EFA as the required declaration was subsequently lodged.

With respect to the two groups and 26 candidates referred to the Crown Solicitor during the 2007/2008 reporting period, these matters were withdrawn by the EFA due to the groups and candidates not having a registered official agent.

Note: section 96 of the *Election Funding, Expenditure and Disclosures Act 1981* as in force on 30 August 2007, which was the date of the alleged offences, provided that only a registered agent is guilty of an offence where a declaration had not been lodged as required.

EFA Results Indicators

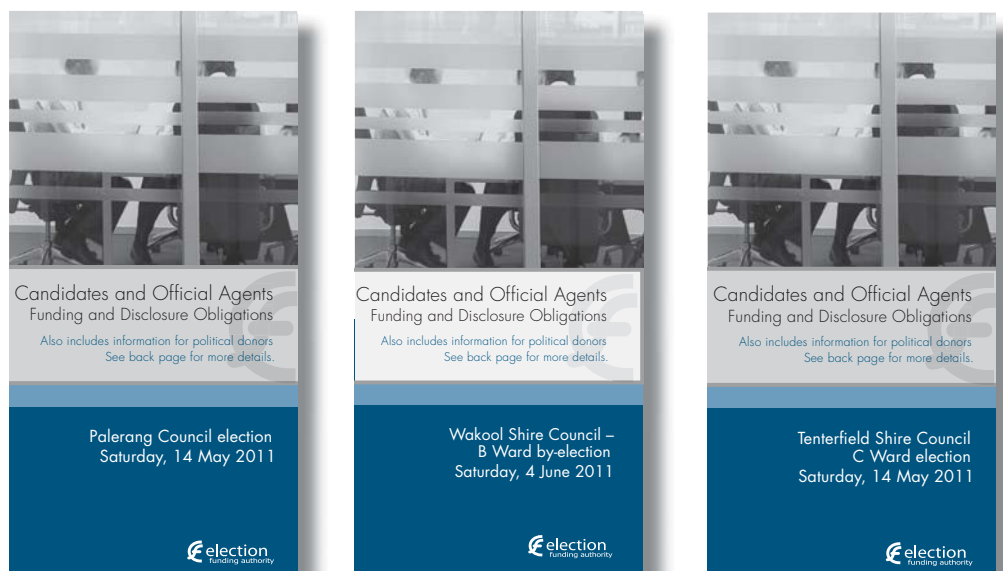
Results Indicators set out in the EFA Corporate Plan relating to the provision of unbiased public funding and open disclosure of campaign donations include performance measures on the percentage of disclosures lodged with the EFA by the due date (target 95%) and the number of successful EFA prosecutions (target 100%).

This reporting year has seen a slight decline in the number of disclosures lodged by the due date with 79% of disclosures lodged by 25 August 2010. This was down from 87% for the period ending 31 December 2009 and 86% for the period ending 30 June 2009.

Successful prosecutions continued to provide a challenge for the EFA due to the limited investigatory powers provided under the previous *Election Funding and Disclosures Act 1981*. While a significant number of matters have previously been referred to the NSW Crown Solicitor for prosecution action, the EFA has subsequently taken these matters back with a view to examining each matter against the EFA's policies on compliance and prosecutions.

The EFA will continue to monitor these indicators and work with our stakeholders to provide the foundations for an impartial and transparent funding and disclosure system in NSW.

Communication and Public Awareness



Information for Local Government by-elections candidates

Communication and Public Awareness

Objectives

- to ensure that political parties, candidates, groups, elected members and political donors have access to current information about their entitlements and obligations; and
- to ensure the public and the media have access to publicly available disclosure documents by publishing the disclosures of political donations and electoral expenditure.

Performance

- 14 EFA handbooks and 19 forms revised
- 47 funding and disclosure briefing sessions to election participants
- 22,838 unique EFA website visitors
- 2,192 disclosures and amendments published on EFA website

Future

- develop communication and education strategies suited to each stakeholder group and those needing greater support to understand and fulfil their obligations;
- research, identify and assess reporting mechanisms to enable the general public to view and understand analysis and trends in public funding and disclosure information;
- publish updated publications for the Local Government elections 2012.

During the financial year, the EFA provided information to candidates, groups, parties, elected members, donors and other stakeholders on their obligations and responsibilities under the funding and disclosures legislation.

Communication and Public Awareness

Stakeholder Communications

The EFA provides stakeholders with a range of information avenues, including telephone, website, email, facsimile, media, telephone, translating and interpreting services.

In 2010/11 the EFA logged approximately 2,774 phone contacts and 1,478 emails.

The highest number of enquiries received during the reporting period concerned funding and disclosure issues related to the March 2011 State election.

EFA Website Review

Following the amendments to the *Election Funding, Expenditure and Disclosures Act 1981*, which came into effect on 1 January 2011, all sections on the EFA website have been reviewed and changes were made where required.

Changes to the EFA website included a review of the existing Funding and Disclosure guides, while a total of 14 fact sheets and 4 summaries were also added to the website, and 19 registration and disclosure forms were reviewed to replace the existing ones.

Further amendments included information focused on the 2011 State election and the subsequent disclosures of political donations and electoral expenditure.

In the year 2011/2012, the EFA website will be subject to ongoing review, to enhance its accessibility and to better respond to stakeholder requirements. The website review for this year will also focus on the upcoming Local Government elections in September 2012.

100% of lodged disclosures were published by the EFA on its website in 2010/11.

Table 10: Number of Visitors to the EFA Website

Month	Unique Visitors	Number Of Visits	Pages
July 2010	1,920	2,915	10,782
August 2010	1,722	2,924	14,730
September 2010	1,470	2,550	13,586
October 2010	1,558	2,621	12,869
November 2010	1,759	3,038	13,310
December 2010	1,642	2,562	14,391
January 2011	2,043	3,154	14,915
February 2011	2,411	3,428	13,378
March 2011	5,645	7,220	18,040
April 2011	853	1,309	4,665
May 2011	795	1,222	14,140
June 2011	1,020	1,717	15,568

The EFA website was visited 34,660 times during the financial year by 22,838 unique visitors.

Communication and Public Awareness

Briefing Sessions and Reminder Service

During the reporting year, the EFA continued to undertake briefing sessions for participants at Local Government by-elections.

Briefing sessions for 2011 State election candidates and all political parties were held during November and December 2010 at venues throughout NSW. Further sessions were held throughout NSW for candidates in February 2011 to provide information pertaining to the amendments to the *Election Funding, Expenditure and Disclosures Act 1981* commencing on 1 January 2011.

A reminder to all persons required to lodge a disclosure was sent to over 1,500 councillors, Members of Parliament, political parties, candidates, groups and donors advising them of their disclosure obligations prior to the lodgement date.

Advertising

In the reporting period, a total of \$303,207.92 was incurred on advertising, with \$222,967.92 incurred on print media and \$80,240.00 on radio advertising.

A little over 53% (\$118,353.58) of the print expenditure was incurred on five different metropolitan publications, while \$11,109.30 was incurred on four greater metropolitan publications, with the remainder \$93,505.04 in print expenditure for a total of 41 regional and rural publications.

The \$80,240.00 expenditure incurred on radio advertising was divided between \$21,880.00 for two advertisements on metropolitan stations, while the remaining \$58,360.00 was incurred on 31 advertisements on regional radio stations.

The advertisements were placed in the period December 2010 – March 2011 and focused on the changes to election campaign funding and disclosure laws which came into effect on 1 January 2011, and notifying third-party campaigners of funding and disclosures obligations under the Act.

Publications

Information is available to the EFA's stakeholders by way of Funding and Disclosure Guides, Summaries of Guides and Fact Sheets.

The EFA publishes instructional material for use by political parties, groups, candidates, elected members, third-party campaigners and donors as identified in the *Election Funding, Expenditure and Disclosures Act 1981*. These publications explain their responsibilities under the Act, provide information on political donations, electoral expenditure and public funding as well as containing information on how to complete disclosure documents to be lodged with the EFA. These instructional guides are available on the EFA's website.

The EFA undertook a comprehensive review of all guides and forms used by stakeholders, tailoring information to parties, elected members, candidates, groups, third-party campaigners and official agents, having particular regard to the amendments to the Act that came into effect on 1 January 2011.

Communication and Public Awareness

Media Monitoring

The subject of political donations and expenditure is of interest and the disclosure scheme continues to attract media and public interest in the reporting year.

The EFA notes that the scheme presents challenges and encourages public debate and feedback on funding and disclosure issues as a further means to electoral transparency.

Human Resources

Although staff members work on matters relevant to the EFA, they are employed by the NSWEC. Wages, salaries and allowances are included in the annual report of the NSWEC and key components of human resource management and industrial relations policies and practices are satisfied through the resource management planning and reporting activities of the NSWEC.

Training and Development

The opportunity to foster a learning culture within the EFA and the NSWEC to support the promotion and maintenance of professional and committed staff is an essential pre-requisite to delivering services effectively.

The EFA, through its administrative unit in the NSWEC, provides an opportunity for personal development of EFA staff in areas such as communications, information technology, audit practices, logistics, resourcing, event management and electoral administration.

For information on training courses undertaken by staff see the 2010/11 NSWEC Annual Report.

Occupational Health and Safety

The Occupational Health and Safety system incorporating the Return to Work Program is managed by Human Resources at the NSWEC. Safety incidents, injuries and claims statistics are available in the NSWEC 2010/11 Annual Report.

Equal Employment Opportunity

The NSWEC's Equal Employment Opportunity Management Plan for 2008 to 2011 seeks to recognise and eliminate possible sources of direct and indirect discrimination by identifying key result areas and actions required. More information is available in the 2010/11 NSWEC Annual Report.

Protected Disclosures

No disclosures were made to the EFA under the *Protected Disclosures Act 1999* during the reporting year.

Information Technology

The Information Technology Branch of the NSWEC plays an important role by supporting central election funding and disclosure processes and in assisting the EFA to conform to certain legal and statutory requirements.

Records Management

The EFA is committed to the secure and controlled management, handling and storage of sensitive documents, records, files, materials and information in accordance with business and client needs and to comply with the *State Records Act 1998*. Several NSWEC policies, including the NSWEC Security Policy, the NSWEC

Communication and Public Awareness

Communication Devices and Electronic Records Policy Statement, the EFA and NSWEC Privacy Policy and the Records Management Policy, outline how the NSWEC complies with the *State Records Act 1998* and *Privacy and Personal Information Act 1998* regarding the retention and security of records held by the NSWEC and EFA.

Environmental Management

The EFA recognises that administrative practices particularly associated with disclosure, reporting and public inspection obligations should be subject to ongoing review, with the objective of avoiding paper product waste, increasing the purchase of recycled product content and increasing resource recovery.

To this end, the EFA also developed an online disclosure function for political parties, candidates, groups, elected members and donors to avoid the unnecessary use of a paper-based system.

For those areas where election processes utilise paper products, office equipment and consumables, the EFA has developed waste mitigation and minimisation strategies.

The NSWEC's Waste Reduction and Purchasing Plan identifies key reduction areas and resulted in improvements during the last reporting year.

3 Corporate Governance

2010/11



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EFA Corporate Governance

Governance

The EFA contributes to an impartial electoral system by providing transparent, efficient and unbiased administration of public funding and disclosure legislation.

The EFA is constituted as a corporation with the corporate name of the Election Funding Authority of NSW.

The NSW Electoral Commission is the administrative unit through which the EFA exercises its statutory responsibilities.

The NSWEC's Funding and Disclosure Branch is headed by the Director, Brian DeCelis.

During the 2010/11 year members of the EFA were:

Colin Barry

Chairperson

Steven D Lewis

(until 8 July 2010)

Kirk S McKenzie

(Starting 15 September 2010)

Appointed Member

Edward P Pickering

Appointed Member

Colin Barry took the position of EFA Chairperson upon his appointment as NSW Electoral Commissioner on 1 July 2004. Steven Lewis and Edward Pickering were appointed in November 2003 and were re-appointed in November 2007 for an additional four-year term.

Kirk McKenzie replaced Steven Lewis in September 2010.

The Act provides that members hold office from the end of the period of six months commencing on and including the day of the return of the writs for the previous election and until the end of the period of six months commencing on and including the day for the return of the writs for the next general election.

The date for the return of the writs for the 2011 State election was 29 April 2011; therefore the term of office of the current appointed members and alternates will expire on 29 October 2011.

The EFA held regular meetings throughout the reporting year. The following table lists the meeting dates.

Table 11: Meetings of the EFA 2010/11

Meeting No	Date	Participants
128	8.12.2010	Colin Barry, Edward Pickering, Kirk McKenzie
129	22.2.2011	Colin Barry, Edward Pickering, Kirk McKenzie
130	15.6.2011	Colin Barry, Edward Pickering, Kirk McKenzie
Special Meeting	9.8.2010	Colin Barry, Edward Pickering
Special Meeting	26.8.2010	Colin Barry, Jim Longley (alternate)

Risk Management



Risk Management

The NSWEC has introduced risk management strategies to assess upcoming risks in all operating areas of the NSWEC and EFA. The Director of the Funding and Disclosure Branch of the NSWEC is responsible for managing and implementing a continuous review process for EFA risks in the corporate and NSW State Election 2011 risk registers. These registers contain identified areas of potential risk, the probability of the risk occurring, potential impacts and the risk response strategy.

Risk Management

Audit and Risk Committee

The NSW Electoral Commission Audit and Risk Committee reviews practices and processes of the NSWEC and the EFA and can make recommendations designed to reduce business risk and improve corporate governance.

30 June 2011

Internal Audit and Risk Management Attestation for the 2010-11 Financial Year for the NSW Electoral Commission

I, Colin Barry am of the opinion that the NSW Electoral Commission has internal audit and risk management processes in place that are, in all material respects, compliant with the core requirements set out in Treasury Circular NSWTC 09/08 Internal Audit and Risk Management Policy. These processes provide a level of assurance that enables the senior management of the NSW Electoral Commission to understand, manage and satisfactorily control risk exposures.

I, Colin Barry am of the opinion that the Audit and Risk Committee for the NSW Electoral Commission is constituted and operates in accordance with the independence and governance requirements of Treasury Circular NSWTC 09/08. The Chair and Members of the Audit and Risk Committee are:

- Brian Suttor, Independent Chair (term four years to 1 December 2013).
- Kathleen Haddock, Independent Member (term four years to 13 May 2014).
- John Gordon, Independent Member (term four years to 13 October 2014).

I, Colin Barry declare that this Internal Audit and Risk Management Attestation is made on behalf of the following entities:



Colin Barry

NSW Electoral Commission



Colin Barry

Election Funding Authority of NSW

Risk Management

The Audit and Risk Committee consisted of:

- Brian Suttor, Independent Chairperson
- Kathleen Haddock, Independent member
- John Barbeler, Independent member (1 July 2010 – 20 August 2010)
- John Gordon, Independent member (appointed 13 October 2010)

Details of the Audit and Risk Committee applicable to the EFA are set out in the NSWEC's Annual Report.

Legislative Compliance

The NSWEC Legal Team provides high quality, impartial legal advice and assistance to the EFA and develops policy positions on appropriate legislative reforms.

The EFA's work is governed by the following legislation:

- *Election Funding, Expenditure and Disclosures Act 1981*;
- *Election Funding, Expenditure and Disclosures Regulation 2009*; and
- *Parliamentary Electorates and Elections Act 1912*.

During the reporting year, the legal team provided advice and legal support to the EFA on a number of matters including:

- co-ordination of aspects of the investigation into suspected failures to disclose the making of political donations, drafting briefing papers and legal instruments in connection with those investigations and subsequent prosecutions;
- the preparation of submissions to the Government and Parliamentary Committees in relation to proposals for legislative reform;
- the impact of legislative reforms on EFA operations;
- questions relating to enforcement of breaches of election funding and disclosures legislation;
- the outcomes and implications of prosecutions commenced;
- the interpretation and application of election funding and disclosures legislation and other laws on EFA operations; and
- the development and review of prescribed forms, policy documents, guidelines, and other instruments.

Legislative Amendments



Legislative Amendments

In March 2010, the Joint Standing Committee on Electoral Matters handed down their report “Public Funding of Election Campaigns”, which contained 51 recommendations that the Committee envisaged would “guide the development of a substantially fairer, more robust funding and disclosure regime in NSW”.

The Authority’s response to the Committee Report recommended the Government develop legislation that ensures that NSW not only continues to have the most transparent and comprehensive disclosure provisions of all Australian electoral jurisdictions, but strengthens those provisions associated with compliance and enforcement.

In October 2010, the NSW Parliament agreed to changes to the *Election Funding, Expenditure and Disclosures Act 1981*, following the recommendations by the Joint Standing Committee on Electoral Matters. Key changes included:

- caps on political donations for State elections;
- caps on electoral expenditure for State elections;

- the addition of liquor, gambling and tobacco business industry entities to the list of prohibited donors; and
- changes to public funding.

The changes in the legislation must ensure that NSW not only continues to have the most transparent and comprehensive disclosure provisions of all Australian electoral jurisdictions, but strengthens those provisions associated with compliance and enforcement.

Reforms to the *Election Funding, Expenditure and Disclosures Act 1981*

Major reforms to funding and disclosure legislation under the *Election Funding, Expenditure and Disclosures Act 1981* came into effect on 1 January 2011. These reforms impact on political donations, campaign expenditure and public funding for NSW State elections. In particular, the reforms impose caps on political donations and electoral communication expenditure and provide for a restructure in public funding for NSW State election campaigns. Other miscellaneous and clarifying amendments have also been introduced.

Freedom of Information

Government Information (Public Access) Act

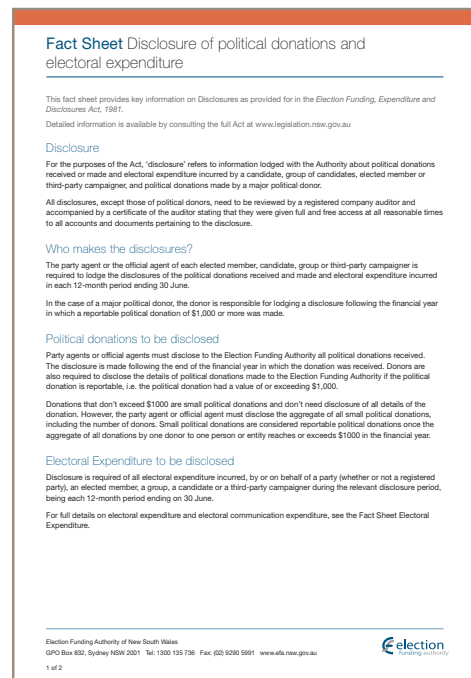
The *Freedom of Information Act 1989* was replaced by the *GIPA Act* on 1 July 2010.

The EFA finalised and published on its website open access information, known as mandatory proactive release of certain government information. This included a publication guide and a number of EFA policies.

Section 7 of the *GIPA Act* authorises an agency to make any government information held by the agency publicly available unless there is an overriding public interest against disclosure of the information. Throughout the financial year the EFA identified information that was to be made available to the public. Information that was subsequently made available is detailed below:

- Register of Candidates
- Register of Official Agents
- Register of Third Party Campaigners
- Candidates: Disclosure Statements for the period ending 30 June 2010
- Candidate Seminars – material from presentations

One access application was received by the NSWEC during the 2010/2011 financial year which was refused because the application was for the disclosure of information referred to in *Clause 5 of Schedule 1 to the GIPA Act (legal professional privilege)*. Note the *Parliamentary Electorates and Elections Further Amendments Act 2010* amended Schedule 2 of the *GIPA Act* to provide that information relating to the investigative or prosecuting functions of the EFA is excluded information for the purpose of that Act.



EFA Fact Sheet

Public Access to Documents

The EFA is required by legislation to make available for public inspection for up to six years after an election:

- disclosures;
- claims for payment;
- associated papers lodged by parties, groups, candidates, elected members and political donors; and
- registers of candidates, official and party agents.

The documents are available for public inspection at the EFA's office in Sydney.

Privacy

Privacy

The EFA collects personal information from electors, other individuals and organisations in order to fulfil its functions under the *Election Funding, Expenditure and Disclosures Act 1981* and other governing Acts.

The *Privacy and Personal Information Protection Amendment Regulation 2011* commenced on 3 March 2011. The object of the Regulation is to exempt the EFA from the provisions of Part 6 of the *Privacy and Personal Information Protection Act 1998* (NSW) which prevented the Authority from disclosing by way of publication on the internet any personal information contained in a public register kept by the Authority.

The amendment enables the Authority to now publish extracts of a register on its website; these extracts may contain personal information such as an individual's name. The Authority will not publish on the internet the individual's personal address, telephone number or email address.

During the reporting year the EFA did not receive any privacy related complaints.

Accountability

Feedback and Complaints

The EFA assesses its performance through stakeholder feedback, including enquiries, suggestions, compliments and complaints.

Complaints received about EFA services are referred to the NSWEC Complaints Coordinator. Complaints or allegations of illegal conduct by parties, candidates or NSWEC staff are referred to the Compliance Manager.

Internal Review

In 2010/11 the EFA Chairperson received no requests for review of an EFA complaint.

Feedback and Complaints Policy

In June 2010 the EFA revised the Feedback and Complaints Policy and supporting mechanisms to ensure that all feedback received is handled in accordance with the NSW Ombudsman's complaints handling recommendations.

This has resulted in a number of improvements to the complaints handling process at the EFA, including the introduction of an online feedback form on the EFA website. All complaints are logged into a centralised complaints database and information used to improve services at the EFA.

4 Financial Performance

2010/11



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Financial Summary

The financial statements of the EFA are prepared in accordance with the *Public Finance and Audit Act 1983*. The Auditor-General's Certificate in relation to these statements together with a detailed budget of the EFA for the financial year are included in this report.

The EFA is a not for profit entity and it has no cash generating units. The reporting entity is consolidated as part of the NSW Total State Sector Accounts. The *Election Funding Expenditure and Disclosures Act 1981* provides for public funding of Parliamentary election campaigns and for a portion of party and elected members administration expense and some policy development funding for other eligible parties. The EFA has no employees, nor does it own or control any non-current assets. There are no known contingent assets or liabilities as at 30 June 2011.

Statement by Members of the Election Funding Authority



Statement by Members of the Election Funding Authority

Pursuant to Section 41C(1B) of the *Public Finance and Audit Act 1983*, and in accordance with a resolution of the members of the Election Funding Authority of NSW, we declare that in our opinion:

- (a) The accompanying financial report exhibits a true and fair view of the financial position of the Election Funding Authority of NSW as at 30 June 2011 and transactions for the year then ended;
- (b) The report has been prepared in accordance with the provisions of the *Public Finance and Audit Act 1983*, and Public Finance and Audit Regulation 2010 and the Treasurer's Directions; and
- (c) There are no circumstances which would render any particulars included in the financial report to be misleading or inaccurate.

Handwritten signature of Colin Barry in blue ink.

Colin Barry
Chairperson

Handwritten signature of Kirk S McKenzie in blue ink.

Kirk S McKenzie
Member

Handwritten signature of Edward P Pickering in blue ink.

Edward P Pickering
Member

12 October 2011

Independent Auditor's Report



GPO BOX 12
Sydney NSW 2001

INDEPENDENT AUDITOR'S REPORT

Election Funding Authority of New South Wales

To Members of the New South Wales Parliament

I have audited the accompanying financial statements of the Election Funding Authority of New South Wales (the Authority), which comprises the statement of financial position as at 30 June 2011, the statement of comprehensive income, statement of changes in equity and statement of cash flows for the year then ended, notes comprising a summary of significant accounting policies and other explanatory information.

Opinion

In my opinion, the financial statements:

- give a true and fair view of the financial position of the Authority as at 30 June 2011, and of its financial performance for the year then ended in accordance with Australian Accounting Standards
- are in accordance with section 41B of the *Public Finance and Audit Act 1983* (the PF&A Act) and the Public Finance and Audit Regulation 2010.

My opinion should be read in conjunction with the rest of this report.

The Member's Responsibility for the Financial Statements

The members of the Authority are responsible for the preparation and fair presentation of the financial statements in accordance with Australian Accounting Standards and the PF&A Act, and for such internal control as the members determine is necessary to enable the preparation of the financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

My responsibility is to express an opinion on the financial statements based on my audit. I conducted my audit in accordance with Australian Auditing Standards. Those standards require that I comply with relevant ethical requirements relating to audit engagements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal controls relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal controls. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the members, as well as evaluating the overall presentation of the financial statements.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinion.

Independent Auditor's Report

My opinion does *not* provide assurance:

- about the future viability of the Authority
- that it has carried out its activities effectively, efficiently and economically
- about the effectiveness of its internal control
- about the security and controls over the electronic publication of the audited financial statements on any website where they may be presented
- about any other information which may have been hyperlinked to/from the financial statements.

Independence

In conducting my audit, I have complied with the independence requirements of the Australian Auditing Standards and other relevant ethical pronouncements. The PF&A Act further promotes independence by:

- providing that only Parliament, and not the executive government, can remove an Auditor-General
- mandating the Auditor-General as auditor of public sector agencies but precluding the provision of non-audit services, thus ensuring the Auditor-General and the Audit Office of New South Wales are not compromised in their role by the possibility of losing clients or income.



Peter Achterstraat
Auditor-General

20 October 2011
SYDNEY

Start of audited financial statements

Election Funding Authority of New South Wales

Statement of comprehensive income for the year ended 30 June 2011

	Notes	Actual 2011 \$	Actual 2010 \$
Revenue			
Consolidated Fund:			
Payments to candidates, groups and parties		90,386	838,750
Political Education Fund		–	1,806,966
Administration Fund		1,963,348	–
Total Revenue		2,053,734	2,645,716
Expenses			
General Election payments	2(a)	90,386	838,750
Payments to political parties from Political Education Fund	2(b)	–	1,806,966
Administration Fund	2(c)	1,963,348	–
Total Expenses		2,053,734	2,645,716
Surplus / (Deficit) for the Year			
		–	–
Other Comprehensive Income			
		–	–
Total Comprehensive Income for the Year			
		–	–

The accompanying notes form part of these financial statements.

Election Funding Authority of New South Wales

Statement of changes in equity for the year ended 30 June 2011

	Notes	Accumulated Funds \$000	Total \$000
Balance at 1 July 2010		–	–
Surplus for the Year		–	–
Total other comprehensive income		–	–
Total comprehensive income for the year		–	–
Balance at 30 June 2011		–	–
Balance at 1 July 2009		–	–
Surplus for the Year		–	–
Total other comprehensive income		–	–
Total comprehensive income for the year		–	–
Balance at 30 June 2010		–	–

The accompanying notes form part of these financial statements.

Election Funding Authority of New South Wales

Statement of financial position as at 30 June 2011

	Notes	Actual 2011 \$000	Actual 2010 \$000
Current Assets			
Total Current Assets		–	–
Total Assets		–	–
Current Liabilities			
Total Current Liabilities		–	–
Total Liabilities		–	–
Net Assets		–	–
Equity			
Total Equity		–	–

The accompanying notes form part of these financial statements.

Election Funding Authority of New South Wales

Statement of cash flows for the year ended 30 June 2011

	Notes	Actual 2011 \$	Actual 2010 \$
Cash Flows from Operating Activities			
Payments			
Payments to candidates, groups and parties		(90,386)	(838,750)
Political Education Fund		–	(1,806,966)
Administration Fund		(1,963,348)	–
Cash Flows from Government			
Consolidated Fund receipts via NSW Electoral Commission		2,053,734	2,645,716
Cash Flows from Operating Activities	5	–	–
Net Change in cash from Operating Activities		–	–
Opening cash and cash equivalents		–	–
Closing cash and cash equivalents			

The accompanying notes form part of these financial statements.

Election Funding Authority of New South Wales

Notes to the financial statements for the year ended 30 June 2011

1. Summary of Significant Accounting Policies

(a) Reporting Entity

The Election Funding Authority of New South Wales (EFA) is a New South Wales (NSW) Statutory authority. The EFA is a not-for-profit entity (as profit is not its principal objective) and it has no cash generating units. The reporting entity is consolidated as part of the NSW Total State Sector Accounts.

Major reforms to funding and disclosure legislation have commenced on 1 January 2011 and have impact on political donations, campaign expenditure and public funding for NSW State elections. In particular, the reforms impose caps on political donations and electoral communication expenditure and provide for a restructure in public funding for NSW State election campaigns.

The *Election Funding and Disclosures Act 1981* is renamed the *Election Funding, Expenditure and Disclosures Act 1981*.

The amendments establish the Election Campaigns Fund, the Administration Fund and the Policy Development Fund to replace

- **Central Fund** (payments from the Central Fund were to eligible candidates, groups and parties at a general election contesting election to the Legislative Council of New South Wales),
- **Constituency Fund** (payments from the Constituency Fund were to eligible candidates and parties at a general election contesting election to the Legislative Assembly of New South Wales. Payments from the by-election Constituency Fund are to eligible candidates and parties at a by-election contesting election

to the Legislative Assembly of New South Wales)

- **Political Education Fund** (in order to be eligible to receive annual payments from the fund a registered political party must have met the following criteria: the party must have endorsed candidates for the Legislative Assembly at a State General election, and the party must have been entitled to receive public funding in respect of the State General election. Political education purposes can include but are not limited to the posting of written materials and information).

The Election Campaigns Fund

Candidates and registered parties endorsing candidates for LA or LC elections may be eligible for payments from the Election Campaigns Fund.

The amount to be distributed from the Election Campaigns Fund is a reimbursement to a party or a candidate eligible for payment in respect of a State election of the amount of the actual electoral communication expenditure incurred, on a sliding scale and subject to the applicable cap.

Administration Fund

Parties with elected members are eligible for annual payments, on a calendar year basis, from the Administration Fund for administrative or operating expenses of the party during the year. Independent members of Parliament are also eligible for annual payments from the Fund.

Policy Development Fund

Parties that are not eligible for payment from the Administration Fund may be eligible for annual payments, on a calendar year basis, from the

Election Funding Authority of New South Wales

Notes to the financial statements for the year ended 30 June 2011

Policy Development Fund of the amount of actual policy development expenditure incurred by or on behalf of the party during the year.

This financial report for the year ended 30 June 2011 has been authorised for issue by the Chairperson on 12 October 2011.

(b) Basis of Preparation

Except when an Australian Accounting Standard permits or requires otherwise, comparative information is disclosed in respect of the previous period for all amounts reported in the financial statements.

The EFA's financial statements is a general purpose financial statements which has been prepared on in accordance with:

- applicable Australian Accounting Standards (which include Australian Accounting Interpretations); and
- the requirements of the *Public Finance and Audit Act 1983* and Regulation.

Judgements, key assumptions and estimations management has made are disclosed in the relevant notes to the financial statements.

All amounts are rounded to the nearest dollar and are expressed in Australian currency.

(c) Statement of Compliance

The financial statements and notes comply with Australian Accounting Standards, which include Australian Accounting Interpretations.

(d) Revenue Recognition

Revenue is measured at the fair value of the consideration or contribution received or receivable. Additional comments regarding the accounting policies for the recognition of revenue are discussed below.

(i) Parliamentary appropriations and contributions

Consolidated fund appropriations under the *Election Funding and Disclosures Act 1981* which is renamed to the *Election Funding, Expenditure and Disclosures Act 1981* (from 1 January 2011) are received by way of transfer payment from the NSW Electoral Commission. Transfer payments are recognised as revenue upon receipt of cash.

(e) Expense Recognition

The EFA maintains the Funds and approves the making of payments from the Funds for valid claims made by candidates, groups and political parties. An expense is not recognised until:

- an entitlement exists under legislation
- the candidate, party or group has supplied sufficient documentation supporting the claim and this documentation. This documentation includes invoices incurred for electoral expenditure to substantiate the level of claim.
- the EFA has validated the invoices to determine if they meet the legislative requirement for payment.

Whilst a maximum entitlement exists under legislation, the actual payment depends on the value of invoices submitted by candidates, parties or groups.

A corresponding revenue item is recognised as the cost of claims is recovered from the NSW Electoral Commission.

Election Funding Authority of New South Wales

Notes to the financial statements for the year ended 30 June 2011

(f) Assets Recognition

The Authority has no employees, nor does it own or control any non-current assets.

(g) Comparative Information

Except when an Australian Accounting Standard permits or requires otherwise, comparative information is disclosed in respect of the previous period for all amounts reported in the financial statements.

(h) New Australian Accounting Standards issued but not effective

The following new Accounting Standards have not been applied and are not yet effective as mandated by the Treasurer. The possible impact of the Standards in the period of initial application is unlikely to be material.

Accounting standard	Issue date	Effective date – first day of financial reporting period impacted
AASB 9 Financial Instruments	Dec 2010	1 July 2013
AASB 124 Related Party Disclosures	Dec 2009/July 2011	1 July 2011 / 1 July 2013
IFRS 10 Consolidated financial statements	May 2011	1 July 2013
IFRS 11 Joint arrangements	May 2011	1 July 2013
IFRS 12 Disclosure of interests in other entities	May 2011	1 July 2013
IAS 27 Separate financial statements	May 2011	1 July 2013
IAS 28 Investments in Associates and Joint Ventures	May 2011	1 July 2013
IFRS 13 Fair value measurement	May 2011	1 July 2013
AASB 1049 Whole of Government and General Government Sector, Financial Reporting	May 2011	1 July 2012
AASB 1054 Australian additional disclosures	May 2011	1 July 2011
IAS 1 Presentation of Financial Statements	June 2011	1 July 2013
IAS 19 Employee Benefits	June 2011	1 July 2013

Adoption of New and Revised Accounting Standards/Interpretation AASB 9 and AASB 2009-11 regarding financial instruments

Election Funding Authority of New South Wales

Notes to the financial statements for the year ended 30 June 2011

2. Expense

(a) General Election Payments

	2011 \$	2010 \$
Central Fund:		
Payments to Political Parties	–	838,750
Constituency Fund:		
Payments to Candidates	90,386	–
Total General Election Payments	90,386	838,750

	2011 \$	2010 \$
Payments from Constituency Fund		
Australian Labor Party (NSW Branch)	27,086	–
Christian Democratic Party (Fred Nile Group)	4,856	–
Liberal Party of Australia New South Wales Division	51,182	–
The Greens	7,262	–
Total Payments from Constituency Fund	90,386	–

	2011 \$	2010 \$
Payments from Central Fund		
Liberal Party of Australia New South Wales Division	–	599,221
The Greens	–	239,529
Total Payments from Central Fund	–	838,750

(b) Payments from Political Education Fund

	2011 \$	2010 \$
Australian Labor Party (NSW Branch)	–	761,613
Christian Democratic Party (Fred Nile Group)	–	49,804
Liberal Party of Australia New South Wales Division	–	583,693
National Party of Australia – NSW	–	217,813
The Greens	–	194,043
Total Payments from Political Education Fund	–	1,806,966

Election Funding Authority of New South Wales

Notes to the financial statements for the year ended 30 June 2011

(c) Administration Fund

	2011 \$	2010 \$
Australian Labor Party (NSW Branch)	1,238,386	–
National Party of Australia – NSW	724,961	–
Total Payments to Political Parties from Adminstrating Fund	1,963,348	–

All transactions are conducted through the NSW Electoral Commission's accounting framework. Within this framework, employee related expenses of \$1,291,655 (\$888,983 in 2010) and other operating expenses of \$1,384,269 (\$237,272 in 2010) are recognised as attributed to the EFA. An appropriation of \$1,333,000 was received in relation to the major reforms to funding and disclosure legislation effective as of 1 January 2011.

3. Contingent Assets and Liabilities

There are no known contingent assets or liabilities at balance date (Nil at 30 June 2010).

4. Commitments

The Authority has no contractual commitments or any operating or finance leases (Nil at 30 June 2010).

5. Reconciliation of net cash provided by operating activities to operating surplus

	2011 \$	2010 \$
Operating surplus/(deficit)	–	–
Net Cash From Operating Activities	–	–

6. After Balance Date Events

There are no events subsequent to balance date which affect the financial statements.

End of audited financial statements

5 References

2010/11



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Appendix 1

Legislative Assembly candidates eligible to receive a payment from the Election Campaigns Fund with respect to the 2011 State election:

Candidate Surname	Candidate Given Name	Electorate	Party Affiliation Name	Expenditure cap	% FPV	\$Max Funding Entitlement
Aplin	Gregory	Albury	Liberal Party of Australia NSW Division	\$100,000	61.109%	\$30,000.00
Cameron	Darren	Albury	Australian Labor Party (NSW Branch)	\$100,000	15.247%	\$30,000.00
Hesse	Colin	Albury	The Greens	\$100,000	5.081%	\$30,000.00
Wareham	Paul	Albury		\$150,000	14.574%	\$67,500.00
Attie	Nader	Auburn	Liberal Party of Australia NSW Division	\$100,000	32.159%	\$30,000.00
Kiddle	Michael	Auburn	The Greens	\$100,000	6.743%	\$30,000.00
Mehajer	Salim	Auburn		\$150,000	6.732%	\$67,500.00
Perry	Barbara	Auburn	Australian Labor Party (NSW Branch)	\$100,000	46.282%	\$30,000.00
Walker	Raema	Auburn	Christian Democratic Party (Fred Nile Group)	\$100,000	5.276%	\$30,000.00
Kolbe	Karin	Ballina		\$150,000	5.438%	\$67,500.00
Page	Donald	Ballina	National Party of Australia - NSW	\$100,000	56.968%	\$30,000.00
Richardson	Simon	Ballina	The Greens	\$100,000	21.691%	\$30,000.00
Warnes	Toby	Ballina	Australian Labor Party (NSW Branch)	\$100,000	11.920%	\$30,000.00
Falk	James	Balmain	Liberal Party of Australia NSW Division	\$100,000	32.561%	\$30,000.00
Firth	Verity	Balmain	Australian Labor Party (NSW Branch)	\$100,000	30.161%	\$30,000.00
Parker	Jamie	Balmain	The Greens	\$100,000	30.718%	\$30,000.00
Abdulla	Zarif	Bankstown	Christian Democratic Party (Fred Nile Group)	\$100,000	4.265%	\$30,000.00
Chahine	Bill	Bankstown	Liberal Party of Australia NSW Division	\$100,000	29.864%	\$30,000.00
Kay	Rebecca	Bankstown		\$150,000	6.494%	\$67,500.00
Mihailuk	Tania	Bankstown	Australian Labor Party (NSW Branch)	\$100,000	46.333%	\$30,000.00
Taouk	Edmond	Bankstown		\$150,000	7.084%	\$67,500.00
George	Ian	Barwon	The Greens	\$100,000	4.812%	\$30,000.00
Humphries	Kevin	Barwon	National Party of Australia - NSW	\$100,000	79.139%	\$30,000.00
Massarani	Patrick	Barwon	Australian Labor Party (NSW Branch)	\$100,000	16.048%	\$30,000.00
Toole	Paul	Bathurst	National Party of Australia - NSW	\$100,000	67.165%	\$30,000.00
Trounson	Richard	Bathurst		\$150,000	5.665%	\$67,500.00
Turner	Dale	Bathurst	Country Labor Party	\$100,000	20.965%	\$30,000.00
Westerhuis	Diane	Bathurst	The Greens	\$100,000	6.204%	\$30,000.00
Elliott	David	Baulkham Hills	Liberal Party of Australia NSW Division	\$100,000	65.990%	\$30,000.00
Hay	Anthony	Baulkham Hills	Australian Labor Party (NSW Branch)	\$100,000	17.747%	\$30,000.00
Hollins	Michael	Baulkham Hills	The Greens	\$100,000	11.410%	\$30,000.00
Thorpe	Kaia	Baulkham Hills	Christian Democratic Party (Fred Nile Group)	\$100,000	4.853%	\$30,000.00
Atkinson	Leanne	Bega	Australian Labor Party (NSW Branch)	\$100,000	22.014%	\$30,000.00
Constance	Andrew	Bega	Liberal Party of Australia NSW Division	\$100,000	58.985%	\$30,000.00
McKay	Ivan	Bega		\$150,000	5.286%	\$67,500.00
Swift	Harriett	Bega	The Greens	\$100,000	12.099%	\$30,000.00
Gesling	Bernard	Blacktown	Christian Democratic Party (Fred Nile Group)	\$100,000	5.988%	\$30,000.00
Robertson	John	Blacktown	Australian Labor Party (NSW Branch)	\$100,000	44.061%	\$30,000.00
Siljeg	Karlo	Blacktown	Liberal Party of Australia NSW Division	\$100,000	36.410%	\$30,000.00
Taylor	Paul	Blacktown	The Greens	\$100,000	5.611%	\$30,000.00
Cox	Mervyn	Blue Mountains	Christian Democratic Party (Fred Nile Group)	\$100,000	4.071%	\$30,000.00
Doyle	Trisha	Blue Mountains	Australian Labor Party (NSW Branch)	\$100,000	22.671%	\$30,000.00
Mays	Janet	Blue Mountains		\$150,000	17.256%	\$67,500.00

CONTINUES NEXT PAGE ►

Appendix 1

Candidate Surname	Candidate Given Name	Electoral District	Party Affiliation Name	Expenditure Cap	%FPV	\$Max Funding Entitlement
O'Grady	Kerrin	Blue Mountains	The Greens	\$100,000	16.908%	\$30,000.00
Sage	Roza	Blue Mountains	Liberal Party of Australia NSW Division	\$100,000	39.095%	\$30,000.00
Fyfe	Iain	Burrinjuck	The Greens	\$100,000	7.973%	\$30,000.00
Hodgkinson	Katrina	Burrinjuck	National Party of Australia - NSW	\$100,000	74.371%	\$30,000.00
Zivadinovic	Milunka	Burrinjuck	Australian Labor Party (NSW Branch)	\$100,000	14.841%	\$30,000.00
Griffiths	Daniel	Cabramatta	The Greens	\$100,000	7.562%	\$30,000.00
Lalich	Nickola	Cabramatta	Australian Labor Party (NSW Branch)	\$100,000	46.741%	\$30,000.00
Le	Dai	Cabramatta	Liberal Party of Australia NSW Division	\$100,000	42.421%	\$30,000.00
Corrigan	Geoffrey	Camden	Australian Labor Party (NSW Branch)	\$100,000	26.309%	\$30,000.00
Patterson	Christopher	Camden	Liberal Party of Australia NSW Division	\$100,000	60.473%	\$30,000.00
Sajn	Danica	Camden	The Greens	\$100,000	5.968%	\$30,000.00
Zappia	Domenic	Camden	Family First NSW Inc	\$100,000	4.243%	\$30,000.00
Bleasdale	Nicholas	Campbelltown	Australian Labor Party (NSW Branch)	\$100,000	38.640%	\$30,000.00
Doyle	Bryan	Campbelltown	Liberal Party of Australia NSW Division	\$100,000	44.910%	\$30,000.00
Kingsley	Chimezie	Campbelltown		\$150,000	4.985%	\$67,500.00
Waldron Hahn	Victoria	Campbelltown	The Greens	\$100,000	6.623%	\$30,000.00
Wright	David	Campbelltown	Christian Democratic Party (Fred Nile Group)	\$100,000	4.842%	\$30,000.00
Burney	Linda	Canterbury	Australian Labor Party (NSW Branch)	\$100,000	47.258%	\$30,000.00
Nam	Ken	Canterbury	Liberal Party of Australia NSW Division	\$100,000	35.559%	\$30,000.00
Rerceretnam	Marc	Canterbury	The Greens	\$100,000	13.650%	\$30,000.00
Perrotet	Dominic	Castle Hill	Liberal Party of Australia NSW Division	\$100,000	68.679%	\$30,000.00
Tracey	Ryan	Castle Hill	Australian Labor Party (NSW Branch)	\$100,000	14.152%	\$30,000.00
Wallbank	Alexander	Castle Hill	The Greens	\$100,000	7.863%	\$30,000.00
Barr	Clayton	Cessnock	Country Labor Party	\$100,000	34.484%	\$30,000.00
Davey	Alison	Cessnock	National Party of Australia - NSW	\$100,000	24.664%	\$30,000.00
McCudden	Allan	Cessnock		\$150,000	4.981%	\$67,500.00
Ryan	James	Cessnock	The Greens	\$100,000	8.534%	\$30,000.00
Stapleford	Allan	Cessnock		\$150,000	5.009%	\$67,500.00
Troy	Dale	Cessnock		\$150,000	18.843%	\$67,500.00
Cornwell	Andrew	Charlestown	Liberal Party of Australia NSW Division	\$100,000	43.821%	\$30,000.00
Johnston	Barry	Charlestown		\$150,000	7.387%	\$67,500.00
Morris	Matthew	Charlestown	Australian Labor Party (NSW Branch)	\$100,000	28.908%	\$30,000.00
Morrow	Paula	Charlestown	The Greens	\$100,000	8.431%	\$30,000.00
Oaten	Craig	Charlestown	The Fishing Party	\$100,000	4.291%	\$30,000.00
Cansdell	Stephen	Clarence	National Party of Australia - NSW	\$100,000	62.761%	\$30,000.00
Cavanaugh	Janet	Clarence	The Greens	\$100,000	6.878%	\$30,000.00
Clague	Colin	Clarence	Australian Labor Party (NSW Branch)	\$100,000	10.235%	\$30,000.00
Williamson	Richard	Clarence		\$150,000	17.023%	\$67,500.00
Degens	Rodney	Coffs Harbour	The Greens	\$100,000	10.529%	\$30,000.00
Fraser	Andrew	Coffs Harbour	National Party of Australia - NSW	\$100,000	66.064%	\$30,000.00
Quinn	David	Coffs Harbour	Australian Labor Party (NSW Branch)	\$100,000	14.171%	\$30,000.00
Templeton	Paul	Coffs Harbour		\$150,000	5.709%	\$67,500.00
Doran	Susan	Coogee	The Greens	\$100,000	22.023%	\$30,000.00
Notley-Smith	Bruce	Coogee	Liberal Party of Australia NSW Division	\$100,000	47.136%	\$30,000.00
Pearce	Paul	Coogee	Australian Labor Party (NSW Branch)	\$100,000	25.237%	\$30,000.00

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Appendix 1

Candidate Surname	Candidate Given Name	Electoral District	Party Affiliation Name	Expenditure Cap	%FPV	\$Max Funding Entitlement
Jones	Stefanie	Cronulla	Australian Labor Party (NSW Branch)	\$100,000	19.155%	\$30,000.00
Peacock	Joshua	Cronulla	The Greens	\$100,000	8.148%	\$30,000.00
Speakman	Mark	Cronulla	Liberal Party of Australia NSW Division	\$100,000	66.067%	\$30,000.00
Davis	John	Davidson	The Greens	\$100,000	12.489%	\$30,000.00
Gilliland	Mathew	Davidson	Australian Labor Party (NSW Branch)	\$100,000	8.127%	\$30,000.00
O'Dea	Jonathan	Davidson	Liberal Party of Australia NSW Division	\$100,000	74.219%	\$30,000.00
Butler	Adam	Drummoyne	The Greens	\$100,000	9.814%	\$30,000.00
Elliott	Alexander	Drummoyne		\$150,000	6.753%	\$67,500.00
Sidoti	Anthony	Drummoyne	Liberal Party of Australia NSW Division	\$100,000	56.024%	\$30,000.00
Tsirekas	Angelo	Drummoyne	Australian Labor Party (NSW Branch)	\$100,000	25.197%	\$30,000.00
Brooks	Andrew	Dubbo	Australian Labor Party (NSW Branch)	\$100,000	6.382%	\$30,000.00
Fardell	Dawn	Dubbo		\$150,000	31.168%	\$67,500.00
Grant	Troy	Dubbo	National Party of Australia - NSW	\$100,000	59.982%	\$30,000.00
Ashton	Alan	East Hills	Australian Labor Party (NSW Branch)	\$100,000	40.841%	\$30,000.00
Batch	Anthony	East Hills		\$150,000	4.378%	\$67,500.00
Brookes	Glenn	East Hills	Liberal Party of Australia NSW Division	\$100,000	41.775%	\$30,000.00
Falanga	Mark	East Hills	Christian Democratic Party (Fred Nile Group)	\$100,000	4.126%	\$30,000.00
Roberts	Susan	East Hills	The Greens	\$100,000	4.905%	\$30,000.00
Heyde	Emma	Epping	The Greens	\$100,000	14.917%	\$30,000.00
Smith	Amy	Epping	Australian Labor Party (NSW Branch)	\$100,000	15.922%	\$30,000.00
Smith	Gregory	Epping	Liberal Party of Australia NSW Division	\$100,000	61.405%	\$30,000.00
Nasr	Elen	Fairfield	Christian Democratic Party (Fred Nile Group)	\$100,000	5.311%	\$30,000.00
Nielsen	Ann	Fairfield	The Greens	\$100,000	6.582%	\$30,000.00
Saliba	Charbel	Fairfield	Liberal Party of Australia NSW Division	\$100,000	37.934%	\$30,000.00
Zangari	Gaetano	Fairfield	Australian Labor Party (NSW Branch)	\$100,000	40.670%	\$30,000.00
Cassar	Jacob	Gosford		\$150,000	4.930%	\$67,500.00
Freewater	Peter	Gosford	The Greens	\$100,000	11.934%	\$30,000.00
Holstein	Christopher	Gosford	Liberal Party of Australia NSW Division	\$100,000	50.187%	\$30,000.00
Smith	Katie	Gosford	Australian Labor Party (NSW Branch)	\$100,000	27.608%	\$30,000.00
Byrne	Maree	Goulburn	The Greens	\$100,000	9.568%	\$30,000.00
Goward	Prudence	Goulburn	Liberal Party of Australia NSW Division	\$100,000	61.333%	\$30,000.00
Parker	Robert	Goulburn		\$150,000	10.936%	\$67,500.00
Validakis	Crystal	Goulburn	Australian Labor Party (NSW Branch)	\$100,000	14.745%	\$30,000.00
Borger	David	Granville	Australian Labor Party (NSW Branch)	\$100,000	37.951%	\$30,000.00
Garrard	Paul	Granville		\$150,000	10.019%	\$67,500.00
Issa	Antoine	Granville	Liberal Party of Australia NSW Division	\$100,000	41.322%	\$30,000.00
Kennedy	Richard	Granville	The Greens	\$100,000	5.190%	\$30,000.00
Sharah	Alexander	Granville	Christian Democratic Party (Fred Nile Group)	\$100,000	5.518%	\$30,000.00
Wicks	Peter	Hawkesbury	Australian Labor Party (NSW Branch)	\$100,000	11.107%	\$30,000.00
Williams	Leigh	Hawkesbury	The Greens	\$100,000	9.905%	\$30,000.00
Williams	Raymond	Hawkesbury	Liberal Party of Australia NSW Division	\$100,000	75.354%	\$30,000.00
Evans	Lee	Heathcote	Liberal Party of Australia NSW Division	\$100,000	46.968%	\$30,000.00
McLeay	Paul	Heathcote	Australian Labor Party (NSW Branch)	\$100,000	22.858%	\$30,000.00
Petty	Gregory	Heathcote		\$150,000	7.876%	\$67,500.00
Smith	Philip	Heathcote	The Greens	\$100,000	15.819%	\$30,000.00

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Candidate Surname	Candidate Given Name	Electoral District	Party Affiliation Name	Expenditure Cap	%FPV	\$Max Funding Entitlement
Faruqi	Mehreen	Heffron	The Greens	\$100,000	18.968%	\$30,000.00
Forster	John	Heffron		\$150,000	4.075%	\$67,500.00
Keneally	Kristina	Heffron	Australian Labor Party (NSW Branch)	\$100,000	41.231%	\$30,000.00
Pandeleos	Patrice	Heffron	Liberal Party of Australia NSW Division	\$100,000	33.269%	\$30,000.00
Berman	Nicolas	Hornsby		\$150,000	22.075%	\$67,500.00
Car	Nicholas	Hornsby	Australian Labor Party (NSW Branch)	\$100,000	10.268%	\$30,000.00
Kean	Matthew	Hornsby	Liberal Party of Australia NSW Division	\$100,000	49.407%	\$30,000.00
Wright-Turner	Toni	Hornsby	The Greens	\$100,000	11.107%	\$30,000.00
Dorahy	John	Keira	Liberal Party of Australia NSW Division	\$100,000	35.591%	\$30,000.00
Jaeger	Raymond	Keira		\$150,000	8.374%	\$67,500.00
Park	Ryan	Keira	Australian Labor Party (NSW Branch)	\$100,000	39.066%	\$30,000.00
Takacs	George	Keira	The Greens	\$100,000	12.248%	\$30,000.00
Brown	Matthew	Kiama	Australian Labor Party (NSW Branch)	\$100,000	28.572%	\$30,000.00
McCarthy	Sandra	Kiama		\$150,000	12.845%	\$67,500.00
Van Der Wijngaart	Bernardus	Kiama	The Greens	\$100,000	8.820%	\$30,000.00
Ward	Gareth	Kiama	Liberal Party of Australia NSW Division	\$100,000	42.535%	\$30,000.00
Abdel Massih	Joseph	Kogarah	Christian Democratic Party (Fred Nile Group)	\$100,000	5.635%	\$30,000.00
Burton	Cherie	Kogarah	Australian Labor Party (NSW Branch)	\$100,000	44.211%	\$30,000.00
Francis	Simone	Kogarah	The Greens	\$100,000	8.883%	\$30,000.00
Hindi	Miray	Kogarah	Liberal Party of Australia NSW Division	\$100,000	41.270%	\$30,000.00
Armstrong	David	Ku-Ring-Gai	Australian Labor Party (NSW Branch)	\$100,000	7.893%	\$30,000.00
Gemmell	Suzanne	Ku-Ring-Gai	The Greens	\$100,000	14.061%	\$30,000.00
O'Farrell	Barry	Ku-Ring-Gai	Liberal Party of Australia NSW Division	\$100,000	72.690%	\$30,000.00
Eckersley	Charmian	Lake Macquarie	The Greens	\$100,000	6.865%	\$30,000.00
Mariani	Marcus	Lake Macquarie	Australian Labor Party (NSW Branch)	\$100,000	18.604%	\$30,000.00
McDonald	John	Lake Macquarie	Liberal Party of Australia NSW Division	\$100,000	28.054%	\$30,000.00
Piper	Gregory	Lake Macquarie		\$150,000	43.661%	\$67,500.00
Eisler	Linda	Lakemba	The Greens	\$100,000	7.593%	\$30,000.00
Furolo	Robert	Lakemba	Australian Labor Party (NSW Branch)	\$100,000	48.601%	\$30,000.00
Hawatt	Michael	Lakemba	Liberal Party of Australia NSW Division	\$100,000	36.759%	\$30,000.00
Kam	Sung	Lakemba	Christian Democratic Party (Fred Nile Group)	\$100,000	4.497%	\$30,000.00
McIlroy	Keith	Lane Cove	The Greens	\$100,000	17.693%	\$30,000.00
Roberts	Anthony	Lane Cove	Liberal Party of Australia NSW Division	\$100,000	65.601%	\$30,000.00
Tsang	Mario	Lane Cove	Australian Labor Party (NSW Branch)	\$100,000	13.990%	\$30,000.00
George	Thomas	Lismore	National Party of Australia - NSW	\$100,000	61.171%	\$30,000.00
Moy	Andrew	Lismore	Australian Labor Party (NSW Branch)	\$100,000	13.190%	\$30,000.00
Stock	Susan	Lismore	The Greens	\$100,000	20.465%	\$30,000.00
Altia	Medhat	Liverpool	Christian Democratic Party (Fred Nile Group)	\$100,000	6.748%	\$30,000.00
Byrne	Michael	Liverpool		\$150,000	8.655%	\$67,500.00
Hadid	Mazhar	Liverpool	Liberal Party of Australia NSW Division	\$100,000	27.499%	\$30,000.00
Lynch	Paul	Liverpool	Australian Labor Party (NSW Branch)	\$100,000	51.427%	\$30,000.00
Westerberg	Signe	Liverpool	The Greens	\$100,000	5.672%	\$30,000.00
Bassett	Bart	Londonderry	Liberal Party of Australia NSW Division	\$100,000	52.734%	\$30,000.00
Fraser	Caroline	Londonderry	Christian Democratic Party (Fred Nile Group)	\$100,000	4.584%	\$30,000.00
Holmes	Peta	Londonderry	The Greens	\$100,000	7.637%	\$30,000.00

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Candidate Surname	Candidate Given Name	Electoral District	Party Affiliation Name	Expenditure Cap	%FPV	\$Max Funding Entitlement
Said	Steven	Londonderry	Family First NSW Inc	\$100,000	4.671%	\$30,000.00
Shearan	Allan	Londonderry	Australian Labor Party (NSW Branch)	\$100,000	30.373%	\$30,000.00
Eskaros	Essam	Macquarie Fields	Liberal Party of Australia NSW Division	\$100,000	36.957%	\$30,000.00
Fraser	Nola	Macquarie Fields		\$150,000	10.468%	\$67,500.00
Green	Joshua	Macquarie Fields	Christian Democratic Party (Fred Nile Group)	\$100,000	5.848%	\$30,000.00
McDonald	Andrew	Macquarie Fields	Australian Labor Party (NSW Branch)	\$100,000	40.116%	\$30,000.00
Brown	John	Maitland	The Greens	\$100,000	6.751%	\$30,000.00
Parker	Robyn	Maitland	Liberal Party of Australia NSW Division	\$100,000	40.817%	\$30,000.00
Terenzini	Francesco	Maitland	Australian Labor Party (NSW Branch)	\$100,000	29.488%	\$30,000.00
Tranter	Kellie	Maitland		\$150,000	20.596%	\$67,500.00
Baird	Michael	Manly	Liberal Party of Australia NSW Division	\$100,000	70.171%	\$30,000.00
Hehir	Ian	Manly	The Greens	\$100,000	17.782%	\$30,000.00
Jary	Jennifer	Manly	Australian Labor Party (NSW Branch)	\$100,000	10.380%	\$30,000.00
Daley	Michael	Maroubra	Australian Labor Party (NSW Branch)	\$100,000	44.345%	\$30,000.00
Feneley	Michael	Maroubra	Liberal Party of Australia NSW Division	\$100,000	43.720%	\$30,000.00
Matson	Murray	Maroubra	The Greens	\$100,000	9.977%	\$30,000.00
Byrne	Fiona	Marrickville	The Greens	\$100,000	35.878%	\$30,000.00
Tebbutt	Carmel	Marrickville	Australian Labor Party (NSW Branch)	\$100,000	38.105%	\$30,000.00
Tyler	Rosana	Marrickville	Liberal Party of Australia NSW Division	\$100,000	19.069%	\$30,000.00
Gibbons	Melanie	Menai	Liberal Party of Australia NSW Division	\$100,000	60.995%	\$30,000.00
Johnson	Lindsay	Menai	Christian Democratic Party (Fred Nile Group)	\$100,000	5.241%	\$30,000.00
McGoldrick	James	Menai		\$150,000	6.720%	\$67,500.00
Morrissey	Simone	Menai	The Greens	\$100,000	7.741%	\$30,000.00
Scaysbrook	Peter	Menai	Australian Labor Party (NSW Branch)	\$100,000	19.302%	\$30,000.00
Annesley	Graham	Miranda	Liberal Party of Australia NSW Division	\$100,000	60.722%	\$30,000.00
Brett	John	Miranda		\$150,000	4.724%	\$67,500.00
Cook	Therese	Miranda	Australian Labor Party (NSW Branch)	\$100,000	22.251%	\$30,000.00
Waizer	Naomi	Miranda	The Greens	\$100,000	8.775%	\$30,000.00
Barilaro	Giovanni	Monaro	National Party of Australia - NSW	\$100,000	47.095%	\$30,000.00
Cockram	Paul	Monaro	The Greens	\$100,000	7.853%	\$30,000.00
Whan	Steven	Monaro	Country Labor Party	\$100,000	40.960%	\$30,000.00
Amery	Richard	Mount Druitt	Australian Labor Party (NSW Branch)	\$100,000	48.031%	\$30,000.00
Priest	Venus	Mount Druitt	Liberal Party of Australia NSW Division	\$100,000	35.432%	\$30,000.00
Robertson	Debra	Mount Druitt	The Greens	\$100,000	8.102%	\$30,000.00
Vincent	David	Mount Druitt	Christian Democratic Party (Fred Nile Group)	\$100,000	8.435%	\$30,000.00
Darley-Jones	Patrick	Mulgoa	The Greens	\$100,000	5.701%	\$30,000.00
Davies	Tanya	Mulgoa	Liberal Party of Australia NSW Division	\$100,000	53.178%	\$30,000.00
Guillaume	Prudence	Mulgoa	Australian Labor Party (NSW Branch)	\$100,000	31.855%	\$30,000.00
Robinson	Anthony	Mulgoa		\$150,000	4.154%	\$67,500.00
Gasmier	Neville	Murray-Darling	Australian Labor Party (NSW Branch)	\$100,000	20.723%	\$30,000.00
Hendry	Heidi	Murray-Darling	The Greens	\$100,000	5.199%	\$30,000.00
Williams	John	Murray-Darling	National Party of Australia - NSW	\$100,000	74.079%	\$30,000.00
Piccoli	Adrian	Murrumbidgee	National Party of Australia - NSW	\$100,000	73.425%	\$30,000.00
Wood	William	Murrumbidgee	Australian Labor Party (NSW Branch)	\$100,000	19.706%	\$30,000.00
Attkins	Steve	Myall Lakes		\$150,000	13.457%	\$67,500.00

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Candidate Surname	Candidate Given Name	Electoral District	Party Affiliation Name	Expenditure Cap	%FPV	\$Max Funding Entitlement
Bromhead	Stephen	Myall Lakes	National Party of Australia - NSW	\$100,000	64.563%	\$30,000.00
Petroulakis	David	Myall Lakes	Australian Labor Party (NSW Branch)	\$100,000	12.843%	\$30,000.00
Smith	Gregory	Myall Lakes	The Greens	\$100,000	6.709%	\$30,000.00
McKay	Jodi	Newcastle	Australian Labor Party (NSW Branch)	\$100,000	30.616%	\$30,000.00
Owen	Timothy	Newcastle	Liberal Party of Australia NSW Division	\$100,000	36.675%	\$30,000.00
Sutton	John	Newcastle	The Greens	\$100,000	14.855%	\$30,000.00
Tate	John	Newcastle		\$150,000	11.562%	\$67,500.00
Robjohns	Andrew	North Shore	The Greens	\$100,000	20.222%	\$30,000.00
Skinner	Jillian	North Shore	Liberal Party of Australia NSW Division	\$100,000	67.289%	\$30,000.00
Winton	Tabitha	North Shore	Australian Labor Party (NSW Branch)	\$100,000	10.795%	\$30,000.00
McCowen	Charles	Nthrn Tablelands	National Party of Australia - NSW	\$100,000	28.341%	\$30,000.00
Torbay	Richard	Nthrn Tablelands		\$150,000	63.399%	\$67,500.00
Coure	Mark	Oatley	Liberal Party of Australia NSW Division	\$100,000	44.097%	\$30,000.00
Greene	Kevin	Oatley	Australian Labor Party (NSW Branch)	\$100,000	42.134%	\$30,000.00
Marcos	Steven	Oatley	Christian Democratic Party (Fred Nile Group)	\$100,000	4.831%	\$30,000.00
Wagstaff	Anne	Oatley	The Greens	\$100,000	8.938%	\$30,000.00
Davis	John	Orange		\$150,000	16.033%	\$67,500.00
Duffy	Kevin	Orange	Australian Labor Party (NSW Branch)	\$100,000	15.055%	\$30,000.00
Gee	Andrew	Orange	National Party of Australia - NSW	\$100,000	56.652%	\$30,000.00
Nugent	Stephen	Orange	The Greens	\$100,000	5.604%	\$30,000.00
Rossiter	Fiona	Orange	Family First NSW Inc	\$100,000	6.655%	\$30,000.00
Blackshield	Joe	Oxley	Australian Labor Party (NSW Branch)	\$100,000	12.339%	\$30,000.00
Bradley	Jeremy	Oxley	The Greens	\$100,000	12.523%	\$30,000.00
McGovern	Richard	Oxley		\$150,000	5.120%	\$67,500.00
Stoner	Andrew	Oxley	National Party of Australia - NSW	\$100,000	66.896%	\$30,000.00
Bradley	Phillip	Parramatta	The Greens	\$100,000	8.500%	\$30,000.00
Esber	Pierre	Parramatta	Australian Labor Party (NSW Branch)	\$100,000	27.748%	\$30,000.00
Lee	Geoffrey	Parramatta	Liberal Party of Australia NSW Division	\$100,000	48.401%	\$30,000.00
McDermott	Michael	Parramatta		\$150,000	7.329%	\$67,500.00
Ayres	Stuart	Penrith	Liberal Party of Australia NSW Division	\$100,000	53.998%	\$30,000.00
De Lima	Joaquim	Penrith	Outdoor Recreation Party	\$100,000	4.959%	\$30,000.00
Green	Andrew	Penrith	Christian Democratic Party (Fred Nile Group)	\$100,000	5.790%	\$30,000.00
Thain	John	Penrith	Australian Labor Party (NSW Branch)	\$100,000	25.349%	\$30,000.00
Wright	Suzanne	Penrith	The Greens	\$100,000	9.904%	\$30,000.00
Boydell	Patricia	Pittwater	Australian Labor Party (NSW Branch)	\$100,000	8.986%	\$30,000.00
King	Jonathan	Pittwater	The Greens	\$100,000	16.833%	\$30,000.00
Stokes	Robert	Pittwater	Liberal Party of Australia NSW Division	\$100,000	71.979%	\$30,000.00
Alley	Peter	Port Macquarie	Australian Labor Party (NSW Branch)	\$100,000	5.657%	\$30,000.00
Besseling	Peter	Port Macquarie		\$150,000	36.502%	\$67,500.00
Williams	Leslie	Port Macquarie	National Party of Australia - NSW	\$100,000	52.150%	\$30,000.00
Baumann	Craig	Port Stephens	Liberal Party of Australia NSW Division	\$100,000	51.125%	\$30,000.00
Hennelly	Richard	Port Stephens	The Fishing Party	\$100,000	6.686%	\$30,000.00
Stephens	Liz	Port Stephens	The Greens	\$100,000	9.046%	\$30,000.00
Washington	Kate	Port Stephens	Australian Labor Party (NSW Branch)	\$100,000	28.464%	\$30,000.00
Conolly	Kevin	Riverstone	Liberal Party of Australia NSW Division	\$100,000	58.053%	\$30,000.00

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Candidate Surname	Candidate Given Name	Electoral District	Party Affiliation Name	Expenditure Cap	%FPV	\$Max Funding Entitlement
Green	Allan	Riverstone	Christian Democratic Party (Fred Nile Group)	\$100,000	4.219%	\$30,000.00
Harwood	Jessica	Riverstone	The Greens	\$100,000	5.701%	\$30,000.00
Vassili	Michael	Riverstone	Australian Labor Party (NSW Branch)	\$100,000	23.269%	\$30,000.00
Flowers	John	Rockdale	Liberal Party of Australia NSW Division	\$100,000	43.253%	\$30,000.00
Kamper	Stephen	Rockdale	Australian Labor Party (NSW Branch)	\$100,000	36.263%	\$30,000.00
Moore	Lauren	Rockdale	The Greens	\$100,000	8.793%	\$30,000.00
Nagi	Michael	Rockdale		\$150,000	7.425%	\$67,500.00
Strezova	Anita	Rockdale	Christian Democratic Party (Fred Nile Group)	\$100,000	4.266%	\$30,000.00
Dominello	Victor	Ryde	Liberal Party of Australia NSW Division	\$100,000	62.771%	\$30,000.00
Laxale	Jerome	Ryde	Australian Labor Party (NSW Branch)	\$100,000	16.988%	\$30,000.00
Shaw	James	Ryde	The Greens	\$100,000	9.144%	\$30,000.00
Tagg	Victor	Ryde		\$150,000	7.010%	\$67,500.00
Worsley	Julie	Ryde	Christian Democratic Party (Fred Nile Group)	\$100,000	4.087%	\$30,000.00
Dakers	Jeffrey	Shellharbour	Christian Democratic Party (Fred Nile Group)	\$100,000	6.575%	\$30,000.00
Mallinson	Larissa	Shellharbour	Liberal Party of Australia NSW Division	\$100,000	31.426%	\$30,000.00
Moran	Peter	Shellharbour	The Greens	\$100,000	15.295%	\$30,000.00
Watson	Anna	Shellharbour	Australian Labor Party (NSW Branch)	\$100,000	46.705%	\$30,000.00
Khoshaba	Ninos	Smithfield	Australian Labor Party (NSW Branch)	\$100,000	37.444%	\$30,000.00
O'Neill	Astrid	Smithfield	The Greens	\$100,000	8.253%	\$30,000.00
Poularas	Emmanuel	Smithfield	Christian Democratic Party (Fred Nile Group)	\$100,000	7.954%	\$30,000.00
Rohan	Andrews	Smithfield	Liberal Party of Australia NSW Division	\$100,000	46.349%	\$30,000.00
Brumerskyj	Bohdan	South Coast	Christian Democratic Party (Fred Nile Group)	\$100,000	4.500%	\$30,000.00
Findley	Amanda	South Coast	The Greens	\$100,000	12.869%	\$30,000.00
Hancock	Shelley	South Coast	Liberal Party of Australia NSW Division	\$100,000	60.282%	\$30,000.00
Sims	Glenn	South Coast	Australian Labor Party (NSW Branch)	\$100,000	22.349%	\$30,000.00
Casuscelli	Charles	Strathfield	Liberal Party of Australia NSW Division	\$100,000	45.635%	\$30,000.00
Dale	Lance	Strathfield	The Greens	\$100,000	13.245%	\$30,000.00
Judge	Virginia	Strathfield	Australian Labor Party (NSW Branch)	\$100,000	35.550%	\$30,000.00
Coombs	Robert	Swansea	Australian Labor Party (NSW Branch)	\$100,000	35.226%	\$30,000.00
Edwards	Garry	Swansea	Liberal Party of Australia NSW Division	\$100,000	37.737%	\$30,000.00
Parsons	Phillipa	Swansea	The Greens	\$100,000	8.395%	\$30,000.00
Sneddon	Gillian	Swansea		\$150,000	16.175%	\$67,500.00
Bartels	Adrian	Sydney	Liberal Party of Australia NSW Division	\$100,000	36.154%	\$30,000.00
Blumen	Sacha	Sydney	Australian Labor Party (NSW Branch)	\$100,000	11.255%	\$30,000.00
Brierley Newton	De	Sydney	The Greens	\$100,000	12.786%	\$30,000.00
Moore	Clover	Sydney		\$150,000	36.270%	\$67,500.00
Anderson	Kevin	Tamworth	National Party of Australia - NSW	\$100,000	55.013%	\$30,000.00
Draper	Peter	Tamworth		\$150,000	37.577%	\$67,500.00
Hobbs	Paul	Tamworth	Australian Labor Party (NSW Branch)	\$100,000	4.724%	\$30,000.00
Anderson	Dougal	Terrigal	The Greens	\$100,000	13.515%	\$30,000.00
Drake	Trevor	Terrigal	Australian Labor Party (NSW Branch)	\$100,000	17.763%	\$30,000.00
Hartcher	Christopher	Terrigal	Liberal Party of Australia NSW Division	\$100,000	60.967%	\$30,000.00
Bond	James	The Entrance	Family First NSW Inc	\$100,000	4.627%	\$30,000.00
Jinks	Deidrie	The Entrance	The Greens	\$100,000	10.839%	\$30,000.00
Mehan	David	The Entrance	Australian Labor Party (NSW Branch)	\$100,000	29.017%	\$30,000.00

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Appendix 1

Candidate Surname	Candidate Given Name	Electoral District	Party Affiliation Name	Expenditure Cap	%FPV	\$Max Funding Entitlement
Mirovic	Bob	The Entrance	Christian Democratic Party (Fred Nile Group)	\$100,000	4.629%	\$30,000.00
Spence	Christopher	The Entrance	Liberal Party of Australia NSW Division	\$100,000	50.888%	\$30,000.00
Hobbs	Leonard	Toongabbie	The Greens	\$100,000	5.316%	\$30,000.00
Johnson	Peter	Toongabbie	Shooters and Fishers Party	\$100,000	5.269%	\$30,000.00
Lloyd	Kirsty	Toongabbie	Liberal Party of Australia NSW Division	\$100,000	40.178%	\$30,000.00
Prentice	Brendon	Toongabbie	Christian Democratic Party (Fred Nile Group)	\$100,000	4.528%	\$30,000.00
Rees	Nathan	Toongabbie	Australian Labor Party (NSW Branch)	\$100,000	41.191%	\$30,000.00
Byrnes	Reece	Tweed	Australian Labor Party (NSW Branch)	\$100,000	21.375%	\$30,000.00
Provest	Geoffrey	Tweed	National Party of Australia - NSW	\$100,000	62.089%	\$30,000.00
Vickers	Andrea	Tweed	The Greens	\$100,000	14.042%	\$30,000.00
Duddy	Timothy	Upper Hunter		\$150,000	19.260%	\$67,500.00
Gibbons	Michael	Upper Hunter	Country Labor Party	\$100,000	17.911%	\$30,000.00
Parker	Christopher	Upper Hunter	The Greens	\$100,000	5.705%	\$30,000.00
Souris	George	Upper Hunter	National Party of Australia - NSW	\$100,000	54.655%	\$30,000.00
Jarnason	Susan	Vaucluse	The Greens	\$100,000	18.213%	\$30,000.00
Neill	Pauline	Vaucluse	Australian Labor Party (NSW Branch)	\$100,000	10.737%	\$30,000.00
Upton	Gabrielle	Vaucluse	Liberal Party of Australia NSW Division	\$100,000	69.780%	\$30,000.00
Elliott-Rudder	Glenn	Wagga Wagga	Australian Labor Party (NSW Branch)	\$100,000	10.117%	\$30,000.00
Maguire	Daryl	Wagga Wagga	Liberal Party of Australia NSW Division	\$100,000	53.542%	\$30,000.00
McGirr	Joseph	Wagga Wagga		\$150,000	30.642%	\$67,500.00
Beattie	Linda	Wakehurst	Australian Labor Party (NSW Branch)	\$100,000	13.018%	\$30,000.00
Harris	Cornelia	Wakehurst	The Greens	\$100,000	14.746%	\$30,000.00
Hazzard	Bradley	Wakehurst	Liberal Party of Australia NSW Division	\$100,000	69.446%	\$30,000.00
Connell	Shayne	Wallsend		\$150,000	14.081%	\$67,500.00
Dolan	Christopher	Wallsend	Liberal Party of Australia NSW Division	\$100,000	29.463%	\$30,000.00
Hornery	Sonia	Wallsend	Australian Labor Party (NSW Branch)	\$100,000	39.572%	\$30,000.00
Jackson	Michael	Wallsend		\$150,000	4.611%	\$67,500.00
Parsons	Keith	Wallsend	The Greens	\$100,000	8.285%	\$30,000.00
Berejiklian	Gladys	Willoughby	Liberal Party of Australia NSW Division	\$100,000	69.410%	\$30,000.00
McDougall	Robert	Willoughby	The Greens	\$100,000	16.444%	\$30,000.00
Simpson	Christopher	Willoughby	Australian Labor Party (NSW Branch)	\$100,000	11.975%	\$30,000.00
Costa	Phillip	Wollondilly	Country Labor Party	\$100,000	25.477%	\$30,000.00
Di Blasio	Jessica	Wollondilly	The Greens	\$100,000	5.361%	\$30,000.00
Hannan	Judith	Wollondilly		\$150,000	10.958%	\$67,500.00
Mead	Clinton	Wollondilly	Outdoor Recreation Party	\$100,000	4.638%	\$30,000.00
Rowell	Jai	Wollondilly	Liberal Party of Australia NSW Division	\$100,000	49.888%	\$30,000.00
Blicavs	Michelle	Wollongong	Liberal Party of Australia NSW Division	\$100,000	20.245%	\$30,000.00
Bradbery	Gordon	Wollongong		\$150,000	29.509%	\$67,500.00
Cook	Brendan	Wollongong	The Greens	\$100,000	9.574%	\$30,000.00
Hay	Noreen	Wollongong	Australian Labor Party (NSW Branch)	\$100,000	35.635%	\$30,000.00
Harris	David	Wyong	Australian Labor Party (NSW Branch)	\$100,000	40.057%	\$30,000.00
Webber	Darren	Wyong	Liberal Party of Australia NSW Division	\$100,000	45.868%	\$30,000.00
Wynn	Susan	Wyong	The Greens	\$100,000	10.868%	\$30,000.00

Abbreviations and Acronyms

AEC	Australian Electoral Commission	LGE	Local Government elections
COGEL	Council on Governmental Ethics Laws	MOU	Memorandum of Understanding
DVE	Declaration Vote Envelope	MP	Member of Parliament
ECA	Electoral Council of Australia	NSWEC	New South Wales Electoral Commission
EC	Electoral Commissioner	OHS	Occupational Health and Safety
EEO	Equal Employment Opportunity	PMO	Programme Management Office
EFA	Election Funding Authority	PPIPA	<i>Privacy and Personal Information Protection Act 1998</i>
EEC	Elector Enquiry Centre	PPRS	Political Party Registration System
FOI	Freedom of Information	PRCC	Proportional Representation Count Centre
GIPA	<i>Government Information (Public Access) Act 2010</i>	RPP	Registered Political Parties
JRA	Joint Roll Arrangement	SES	Senior Executive Service
JSCEM	Joint Standing Committee on Electoral Matters	SGE	State General election
KRA	Key Results Areas	SLO	Senior Legal Officer
LA	Legislative Assembly	STEC	State and Territories Electoral Commissioners
LC	Legislative Council	VTR	Virtual Tally Room
LGA	Local Government Area		

Compliance to Annual Reporting Requirements

Under the *Annual Reports Act 1985*, the *Annual Reports Regulation 2005* and various Treasury Circulars, the EFA is required to include information on the topics in the table below.

Annual Reporting Requirement	Page Number and Comments
Letter of Submission	Inside front cover
Particulars of Extensions of Time	No extension of time required
Charter	5
Aims and Objectives	9
Access	Back cover
Management and Structure <ul style="list-style-type: none"> • Names of principal officers • Organisational chart indicating functional responsibilities 	9
Summary Review of Operations	6
Funds granted to non-government Community Organisations	The EFA does not grant funds of this kind.
Legal Change	42
Economic or Other Factors	Not applicable
Management and Activities	11 – 36
Research and Development	Not applicable
Human Resources	Staff members who work on matters related to the EFA are employed by the NSWEC. Human resource details appear in the NSWEC 2010/11 Annual Report.
Consultants	The EFA did not use any consultants during the reporting period.
Equal Employment Opportunity	Staff members who work on matters related to the EFA are employed by the NSWEC. The NSWEC is required to report on EEO on a triennial basis. The NSWEC last reported on EEO in 2008/09.
Disability Plans	Details of the Disability Action Plan applicable to the EFA are set out in the NSWEC's Annual Report. The NSWEC is required to report on Disability Plans on a triennial basis. The NSWEC last reported on Disability Plans in 2008/09.

Compliance to Annual Reporting Requirements

Annual Reporting Requirement	Page Number and Comments
Promotion – Overseas Visits	Not applicable
Consumer Response (complaints)	44
Payment of Accounts	All transactions are conducted through the NSWEC's accounting framework.
Time for Payment of Accounts	As above
Risk Management and Insurance Activities	Appears in the NSWEC 2010/11 Annual Report.
Disclosure of Controlled Entities	The EFA has no controlled entities.
Disclosure of Subsidiaries	The EFA has no subsidiaries.
Multicultural Policies and Services Program (formerly EAPS)	Details of the Multicultural Policies and Services Program are set out in the NSWEC 2010/11 Annual Report.
Agreement with the Community Relations Commission	Not applicable
Occupational Health and Safety	OHS is managed by the Administration Branch of the NSWEC. Details appear in the NSWEC 2010/11 Annual Report.
Waste	36
Financial Statements	51 – 59
Identification of Audited Financial Statements	48
Inclusion of Unaudited Financial Statements	Not applicable
Additional Matters – Compliance with the Privacy and Personal Information and Protection Act 1998	44
Additional Matters – matters arising since July 2011 having a significant effect on operations or communities we serve.	No additional matters had a significant effect on operations or stakeholders
Additional Matters – total external costs in the production of this report.	Total external costs were \$2,167.00 (inclusive of GST) for printing.
Additional Matters – is the report available in non printed formats?	Yes
Additional Matters – is the report available on the internet?	Yes, at www.efa.nsw.gov.au
Performance and number of Executive Officers	There are no SES positions at the EFA.
<i>Government Information (Public Access) Act</i>	43
Implementation of Price Determination	Not applicable
Heritage Management	Not applicable
Electronic Service Delivery	33
Credit Card Certification	The EFA does not hold any credit cards.
Requirements Arising from Employment Arrangements	Not applicable

Glossary of Terms

Act

Election Funding, Expenditure and Disclosures Act 1981.

Agent

A party agent or an official agent. A party agent is the registered agent of a registered political party. An official agent is the registered agent of candidate, group or an elected member.

Assembly

Legislative Assembly of New South Wales.

Auditor

A registered company auditor within the meaning of the *Corporations Act* 2001 of the Commonwealth.

Authority

Election Funding Authority of New South Wales.

By-election

In relation to State elections – means a by-election for the Legislative Assembly. In relation to Local Government elections – means a by-election for a councillor (including the mayor) of a local council or a local government area.

Candidate

A person nominated as a candidate at an election, and includes a person applying for registration as, or registered as, a candidate in the Register of Candidates for the election. A candidate is also a person who accepts a gift for a purpose related to being a candidate at a future election.

A person who is a candidate in an election remains a candidate for 30 days after the election day for that election.

Contest(ed)

Where more than one person contends or competes in an election.

Disclosure period

A 12-month period ending 30 June

Donor

A person or legal entity that makes a gift of money or in-kind to candidate, a group of candidates, an elected member or a political party, or who incurs electoral expenditure.

Donation in kind (gift in-kind)

A gift of goods and/or services at no charge or at a discounted charge.

EFA

The Election Funding Authority of New South Wales.

Elected member

A member of Parliament or a local government councillor (including a mayor). This includes a person who has ceased to be an elected member but is entitled to remuneration as such.

Election

An Assembly general election or by-election, a periodic Council election, a local government general election or by-election.

Election Day

Or polling day is the day for the taking of the polls at an election.

Glossary of Terms

Election Period

The election period of an election includes the period starting 30 days after election day for the previous general election and ending 30 days after election day for the current election.

Electoral District

A district for the election of a member to serve in the Assembly.

Electoral Expenditure

Expenditure for or in connection with promoting or opposing, directly or indirectly, a party or the election of a candidate or candidates or for the purpose of influencing, directly or indirectly, the voting at an election. Expenditure includes any disposition of property.

Endorsed

In relation to a political party, means endorsed, selected or otherwise accredited to stand as a representative of the party.

Entity

An incorporated or unincorporated body or a trustee of a trust.

General Election

In relation to State elections - Assembly general election and a periodic Council election held or to be held concurrently. In relation to Local Government elections - an election other than a by-election for the office of councillor or mayor.

Gift

Any disposition of property made by a person to another person, otherwise than by will, being a disposition made without consideration in money or money's worth or with inadequate consideration, and includes the provision of a service (other than volunteer labour) for no consideration or for inadequate consideration.

Group

A group of candidates, or part of a group of candidates, for an election. For the purposes of the *Election Funding, Expenditure and Disclosures Act 1981*, a group also includes a group of individuals that intends to accept a gift for a purpose related to being a group at a future election. A group of candidates in an election remain a group for 30 days after the election day for that election.

Head Candidate

The candidate whose name appears first on the 'Claim to be included in a group' lodged with the New South Wales Electoral Commission.

Legislative Council

The Legislative Council of New South Wales is the Upper House in the system of government and is often referred to as a House of Review.

Local Government election

An election under the *Local Government Act 1993* for the office of councillor or mayor under that Act (other than an election of mayor by councillors).

Nomination Day

Or day of nomination is the day by which all nominations of candidates must be made.

Official Agent

A person who is appointed by a candidate or group of candidates to act on behalf of himself/herself or the group. A person appointed as an official agent assumes full responsibility for complying with the requirements of the Act and Regulation.

Parliament

The Parliament of New South Wales.

Glossary of Terms

Party

A body or organisation, incorporated or unincorporated, having as one of its objects or activities the promotion of the election to parliament of a candidate or a group of candidates endorsed by it or by a body or organisation of which it forms part.

Periodic Council Election

An election held for the return of 21 members of the Legislative Council.

Person

Includes an individual, a corporation a body corporate or a politic.

Political donation

A gift made to an elected member, a party, a candidate or a group of candidates. This includes, but is not limited to:

- cash;
- the purchase of tickets or other items relating to fund-raising events;
- the provision of goods or services at no cost or insufficient cost; and
- subscriptions paid to a party by members or affiliated groups.

Primary Votes

In relation to a candidate at an election the total number of formal first preference votes recorded for the candidate on all ballot papers. In relation to a group of candidates, or a party with endorsed candidates, at an election, the total number of formal first preference votes recorded for the candidates on all ballot papers other than the votes recorded for candidates for election to the Assembly.

Registered

(except in the case of a party) means registered in accordance with the Act.

Registered agent

See Agent, Official agents and party agents must be registered by the EFA.

Registered political party

A party registered under Part 4A of the *Parliamentary Electorates and Elections Act 1912* and *Local Government Act 1993*.

Regulation

'*Election Funding, Expenditure and Disclosures Regulation*' 2009.

Reportable loan

A loan of \$1,000 or more from a non-financial institution.

Reportable political donation

A political donation or donations totalling \$1,000 or more by a single person or entity within a financial year.

Returning officer

In relation to State elections - a person who is legally authorised to act on behalf of the New South Wales Electoral Commission to manage a Legislative Assembly election for an electoral district. In relation to Local Government elections - a person appointed by the New South Wales Electoral Commission to each council area to conduct the election on behalf of and under the direction of the Electoral Commissioner.

Glossary of Terms

Small political donation

A political donation or donations that are less than \$1,000 each or multiple donations from the same donor in the same financial year that total less than \$1,000.

Writ

The document issued by the Governor-General ordering the election of a member or members of parliament.

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